

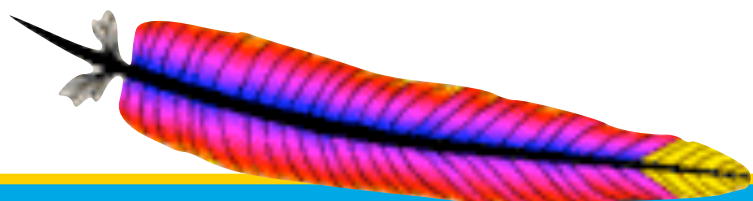
# A little REST and Relaxation

Roy T. Fielding, Ph.D.

Chief Scientist, Day Software

V.P., Apache HTTP Server

[http://roy.gbiv.com/talks/200804\\_REST\\_ApacheCon.pdf](http://roy.gbiv.com/talks/200804_REST_ApacheCon.pdf)



# Representational State Transfer

Web retrospective

Understanding Architecture

What is REST?

Why REST?

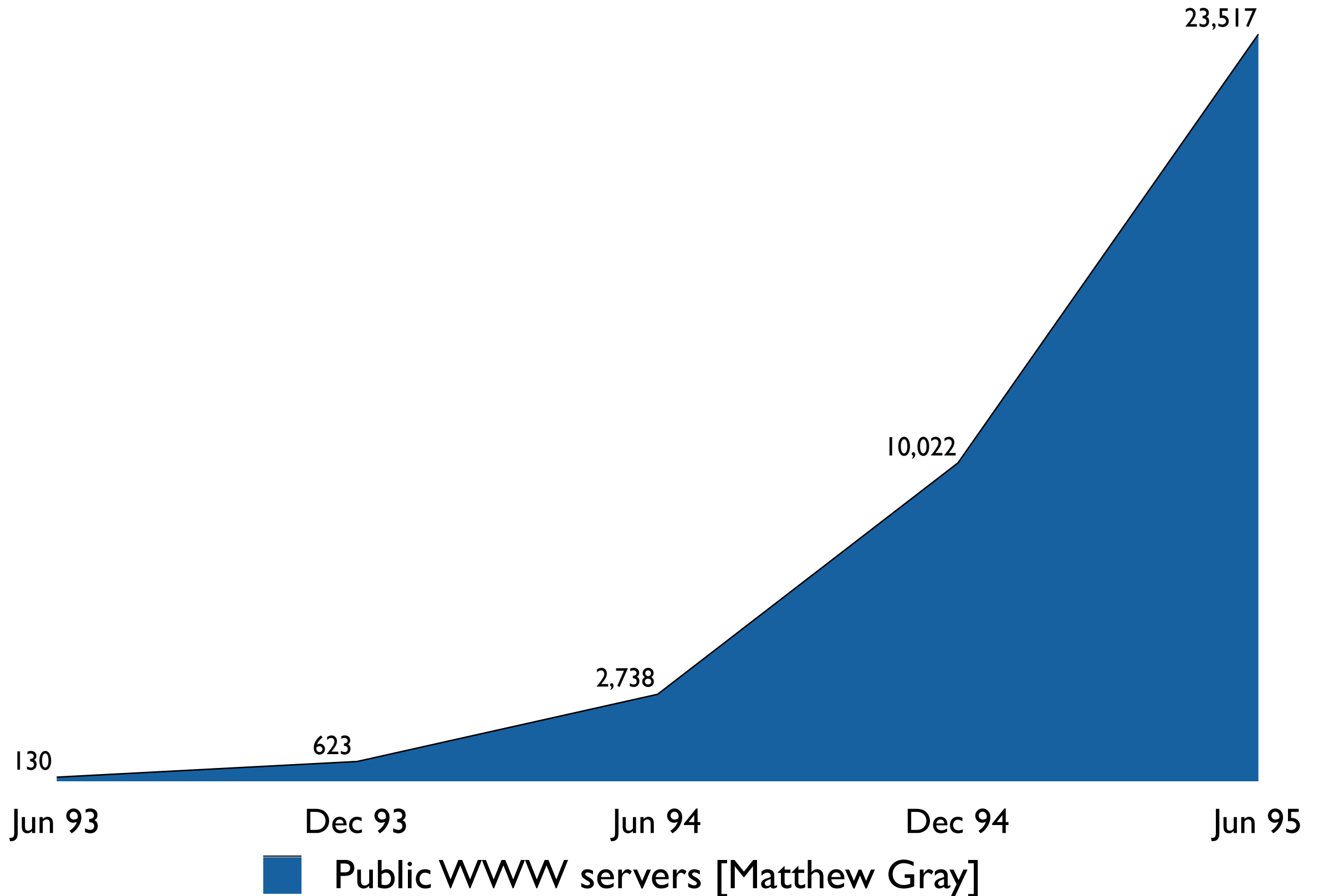
REST at Day

Q & A



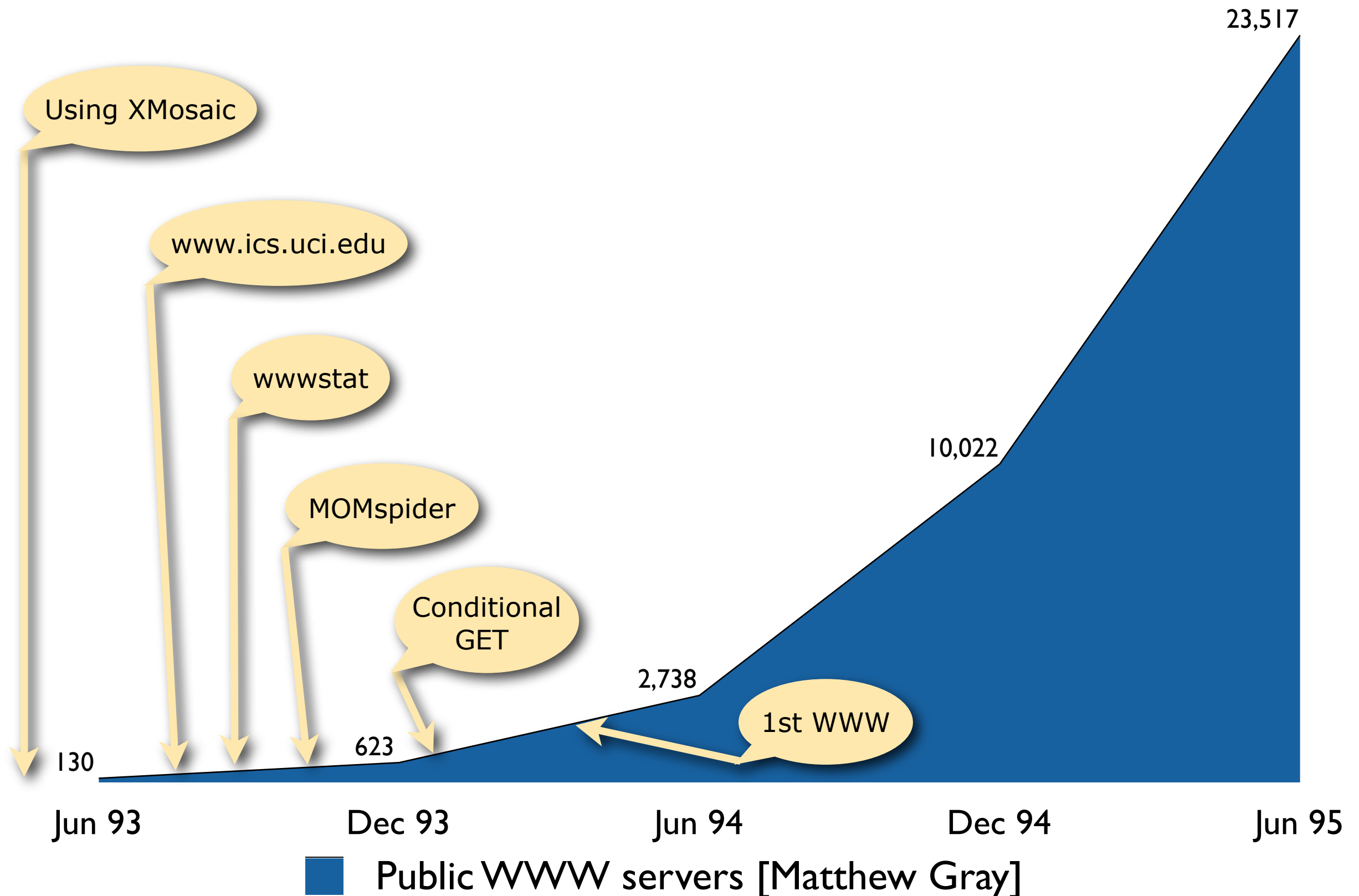
# Context

Mar 08 = 162,662,052 (6,917x)



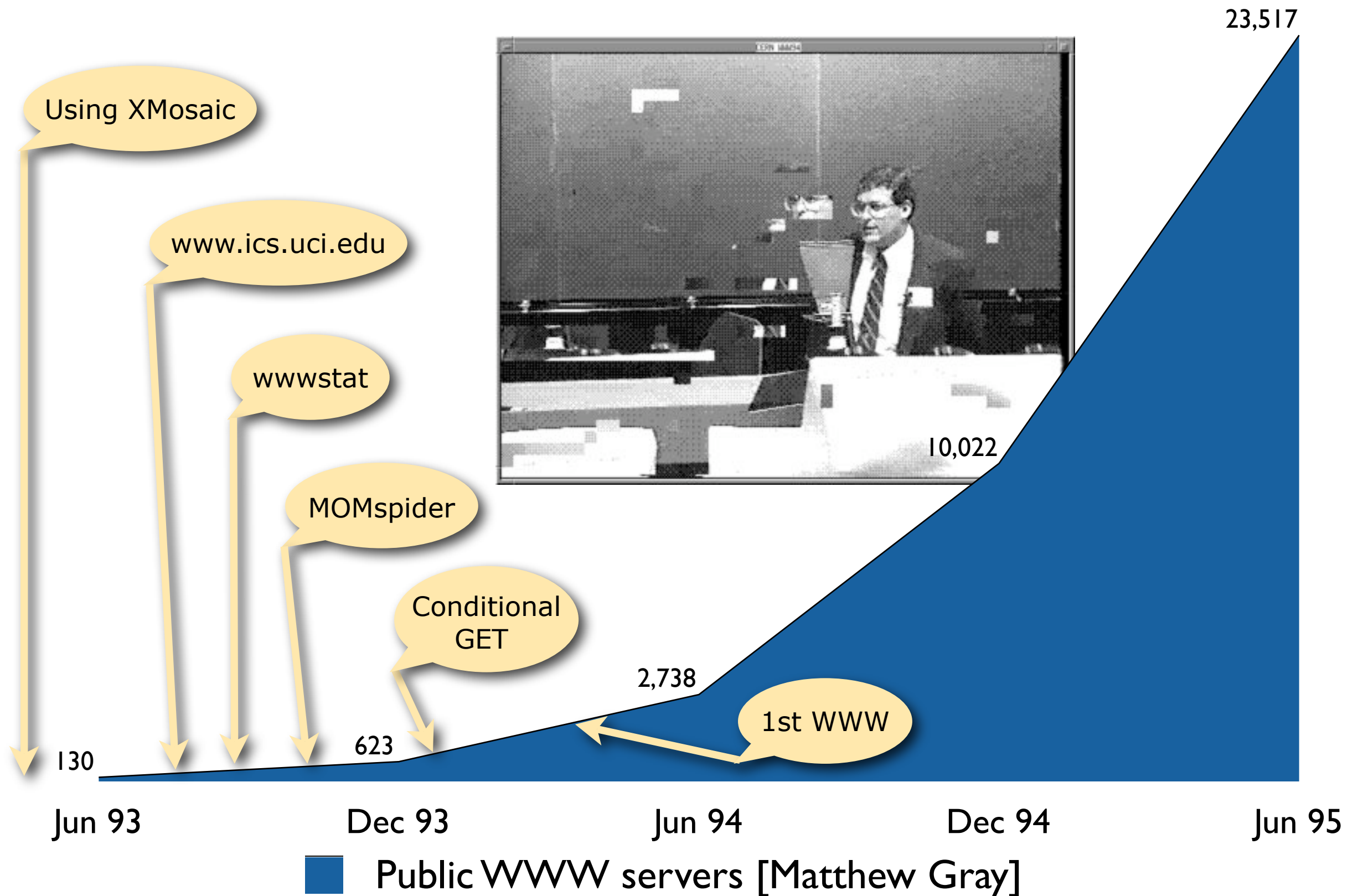
# Context

Mar 08 = 162,662,052 (6,917x)



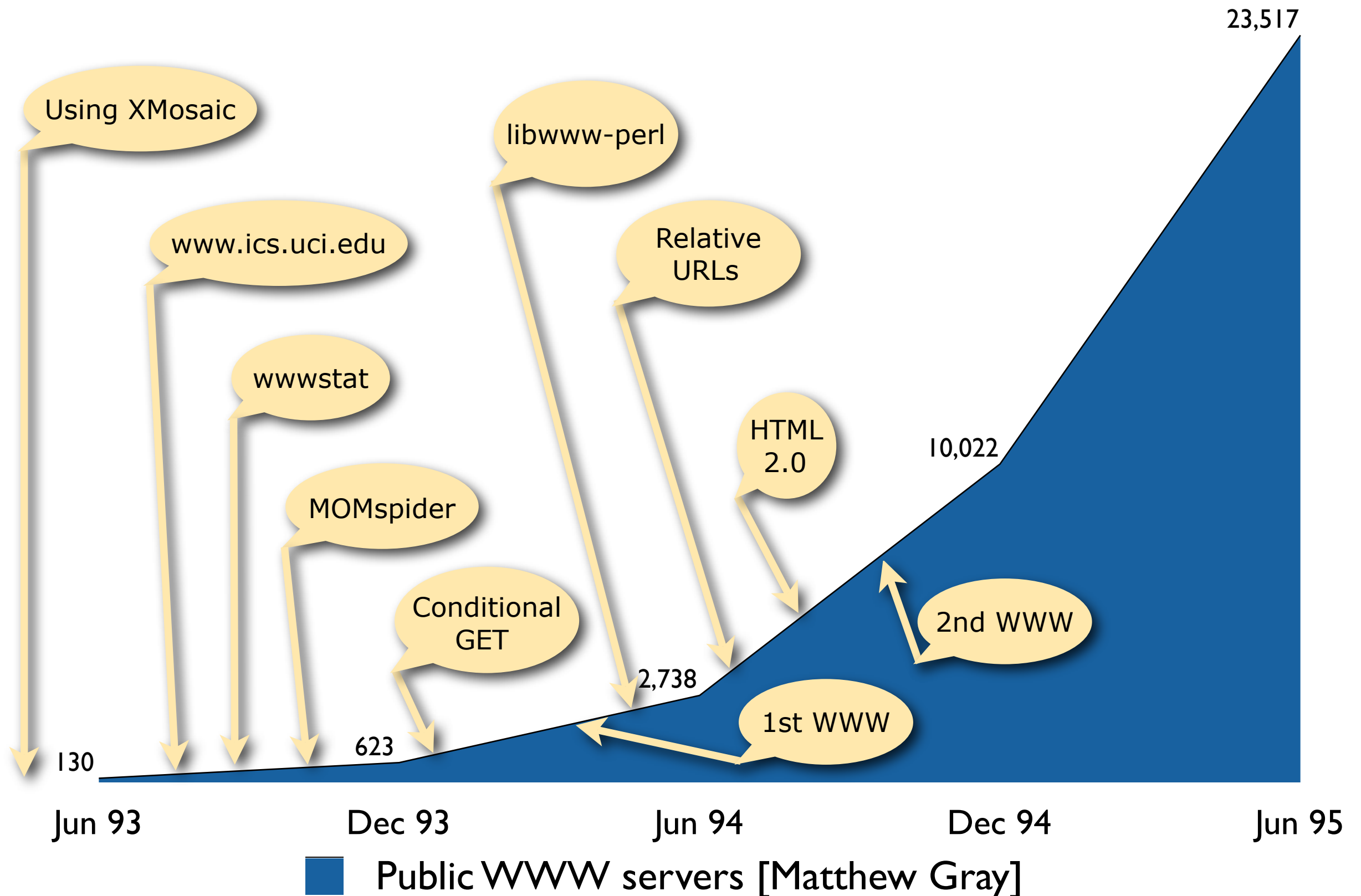
# Context

Mar 08 = 162,662,052 (6,917x)



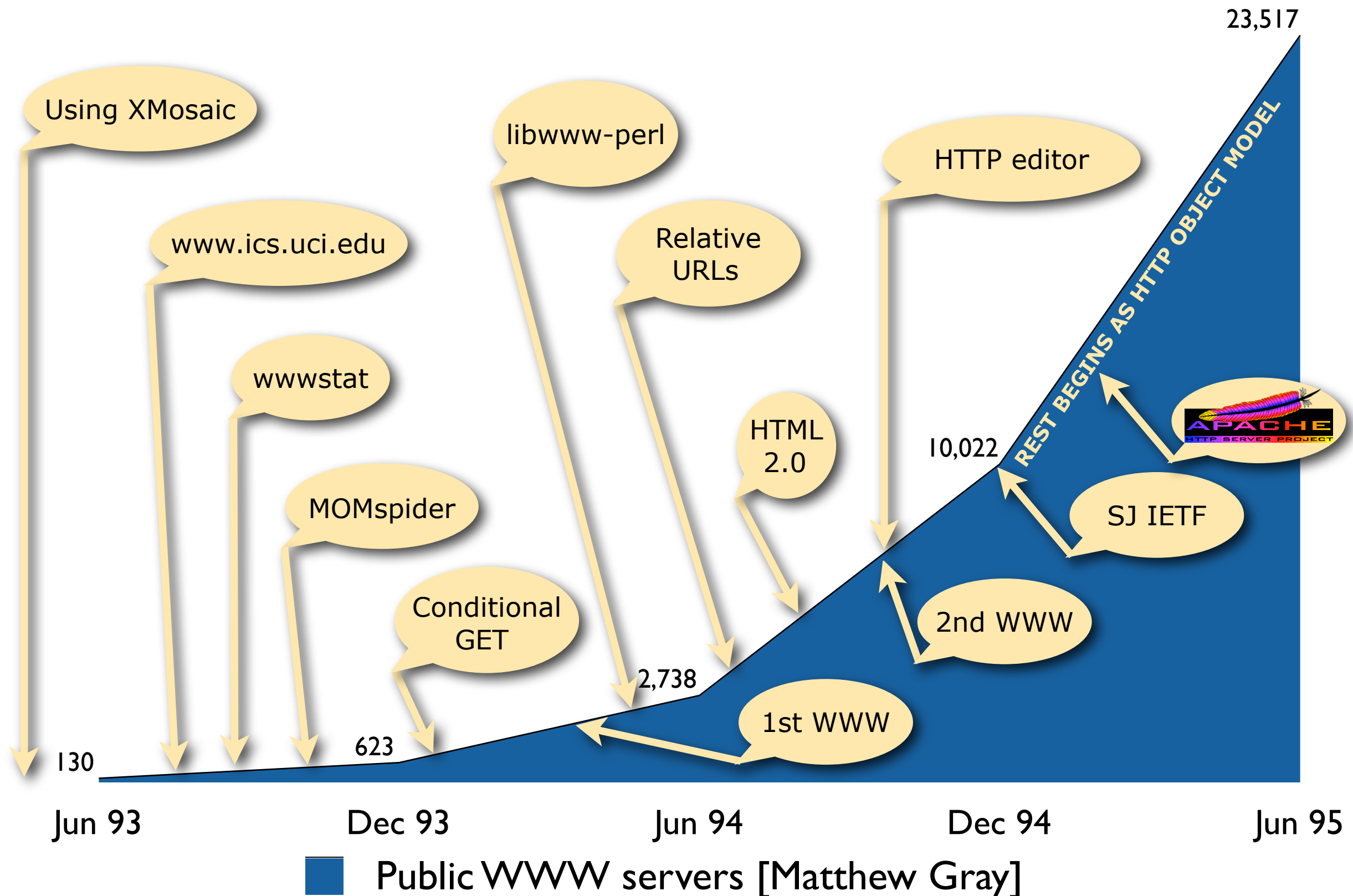
# Context

Mar 08 = 162,662,052 (6,917x)



# Context

Mar 08 = 162,662,052 (6,917x)





# The Web Problem (circa 1994)

## Early architecture based on solid principles

- ▶ URLs, separation of concerns, simplicity
  - lacked architectural description and rationale

## Protocols assumed a direct server connection

- ▶ no awareness of caching, proxies, or spiders
- ▶ many independent extensions

## Emerging awareness of the Web

- ▶ exponential growth threatened the Internet
  - commercialization meant new stakeholders with new (selfish) requirements

## A modern Web architecture was needed

- ▶ but how do we avoid breaking the Web in the process?



# Web Requirements & Properties

# Web Requirements & Properties

## Low entry barrier

- Hypermedia User Interface
- Simple protocols for authoring and data transfer
- ▶ **must be Simple and Reusable; want Extensible**

# Web Requirements & Properties

## Low entry barrier

- Hypermedia User Interface
- Simple protocols for authoring and data transfer
- ▶ **must be Simple and Reusable; want Extensible**

## Distributed Hypermedia System

- Large data transfers
- Sensitive to user-perceived latency
- ▶ **must be Data-driven and Streamable; want Performant**

# Web Requirements & Properties

## Low entry barrier

- Hypermedia User Interface
- Simple protocols for authoring and data transfer
- ▶ **must be Simple and Reusable; want Extensible**

## Distributed Hypermedia System

- Large data transfers
- Sensitive to user-perceived latency
- ▶ **must be Data-driven and Streamable; want Performant**

## Multiple organizational boundaries

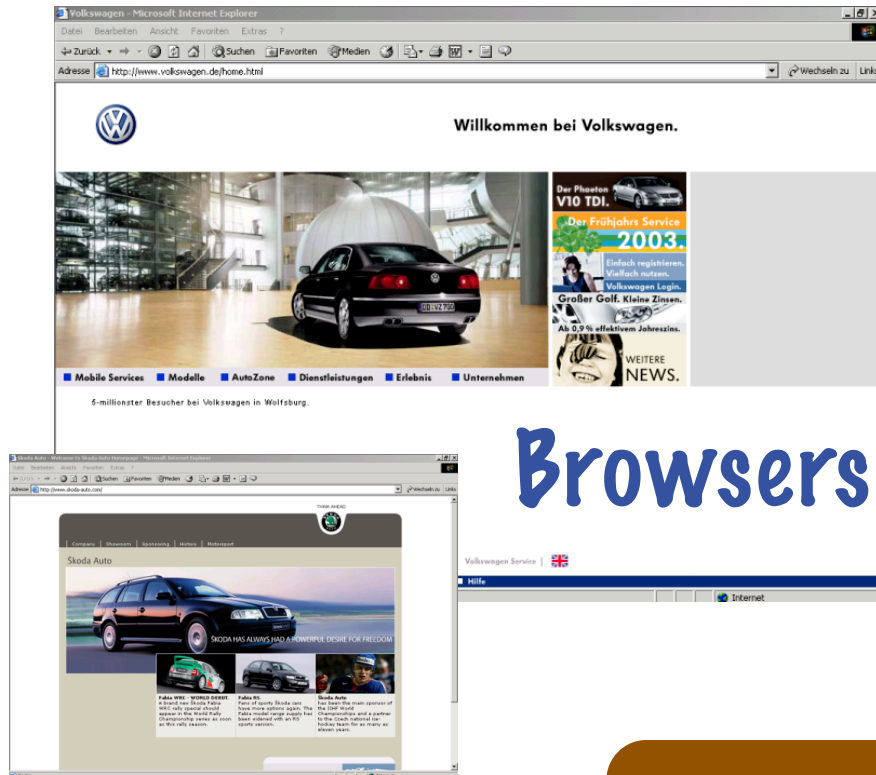
- Anarchic scalability
- Gradual and fragmented change (deployment)
- ▶ **must be Scalable, Portable, Evolvable; want Reliable, Visible, Customizable, Configurable, Extensible, ...**

# What is the Web, really?

Information



Browsers



**W3C**

HTML 4.01 Specification

W3C Recommendation 24 December 1999

This version:  
<http://www.w3.org/TR/1999/REC-html401-19991224>  
(plain text [794Kb], gzipped tar archive of HTML files [371Kb], a zip archive of HTML files [405Kb], gzipped Postscript file [746Kb, 389 pages], gzipped PDF file [363Kb])

Latest version of HTML 4.01:  
<http://www.w3.org/TR/html401>

Latest version of HTML 4:  
<http://www.w3.org/TR/html4>

Latest version of HTML:  
<http://www.w3.org/TR/html>

Previous version of HTML 4.01:  
<http://www.w3.org/TR/1999/PR-html40-19990824>

Previous HTML 4 Recommendation:  
<http://www.w3.org/TR/1999/REC-html40-19980424>

Editors:  
Dave Raggett <dsr@w3.org>  
Arnaud Le Hors, W3C  
Ian Jacobs, W3C

Copyright © 1997-1999 W3C® (MIT, INRIA, Keio). All Rights Reserved. W3C and software licensing rules apply.

Abstract

This specification defines the HyperText Markup Language (HTML) for the World Wide Web. This specification defines HTML 4.01, which is a revision to the text, multimedia, and hyperlink features of the previous versions of HTML 2.0 [RFC1866]. HTML 4 supports more multimedia content, better printing facilities, and documents that are more accessible. HTML 4 also takes great strides towards the internationalization of the Web truly World Wide.

HTML 4 is an SGML application conforming to International Standard Generalized Markup Language [ISO8879].

Status of this document

Network Working Group  
Request for Comments: 3986  
Obsoletes: 2732, 2396, 1808  
STD: 66  
Updates: 1738  
Category: Standards Track

**Uniform Resource Identifier (URI):  
Generic Syntax**

Status of this Memo

This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

Copyright Notice

Copyright © The Internet Society (2005). All Rights Reserved.

Abstract

A Uniform Resource Identifier (URI) is a compact sequence of characters that identifies an abstract or physical resource. This specification defines the generic URI syntax and a process for the registration of URIs. It also defines a set of guidelines and security considerations for the use of URIs. The specification defines a grammar for the representation of URIs, and provides an example of how to use the common components of a URI. The specification also defines the requirements of every possible identifier. This specification does not define a generative grammar for URIs; that task is performed by the individual specifications of each URI scheme.

Network Working Group  
Request for Comments: 2068  
Category: Standards Track

R. Fielding  
UC Irvine  
J. Gettys  
J. C. Mogul  
DEC  
H. Frystyk  
T. Berners-Lee  
MIT/LCS  
January 1997

**Hypertext Transfer Protocol -- HTTP/1.1**

Status of this Memo

This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

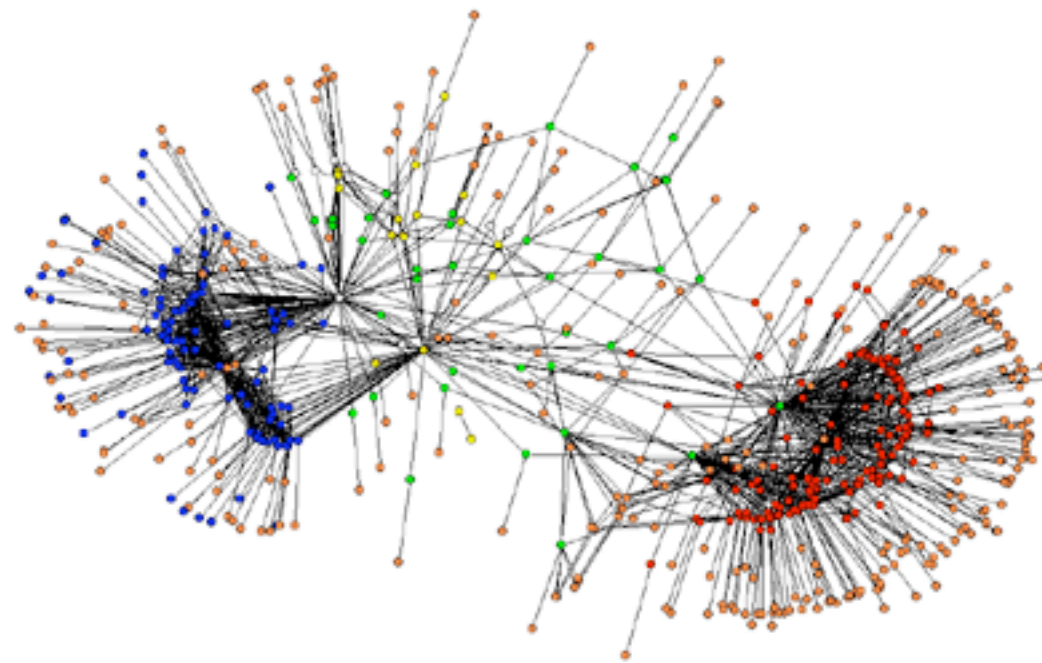
Copyright Notice

Copyright © 1996-1997 W3C® (MIT, INRIA, Keio). All Rights Reserved. W3C and software licensing rules apply.

Abstract

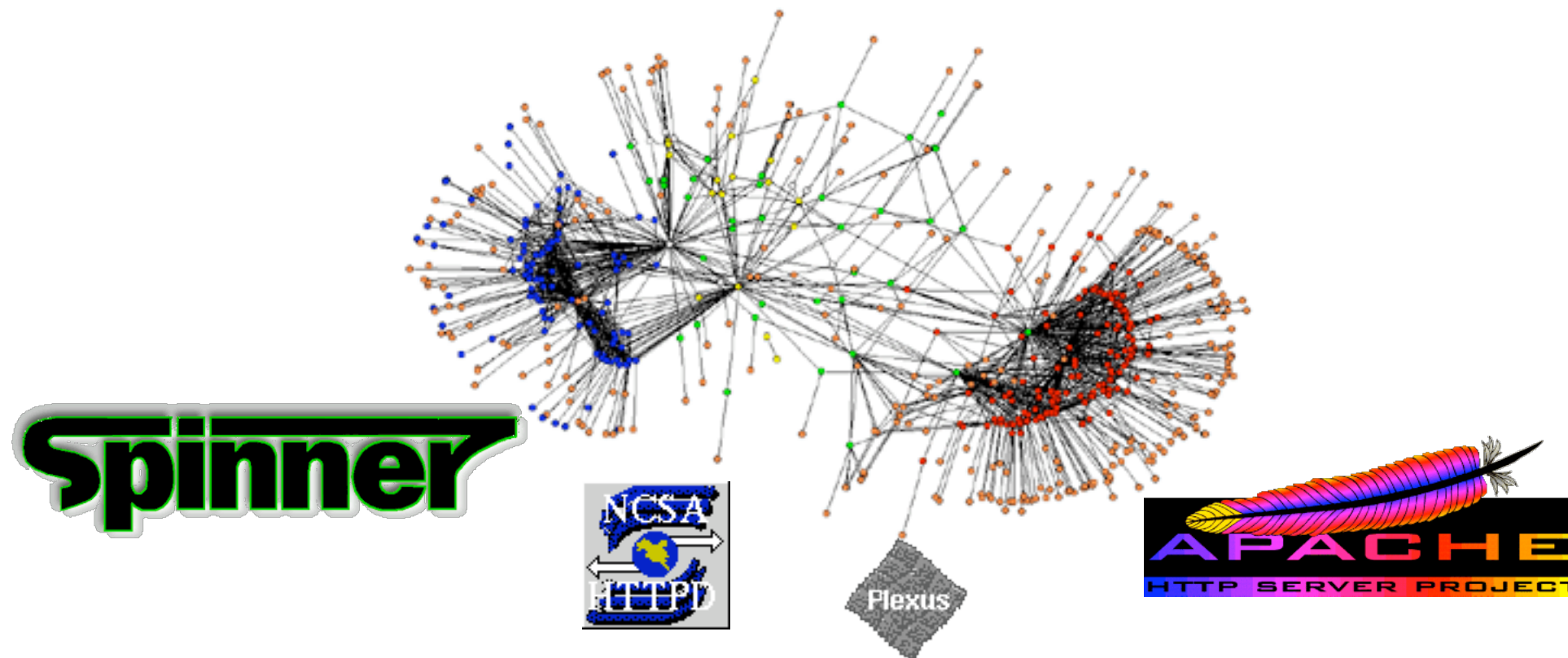
HTTP is an application-level protocol for distributed, collaborative, hypertext systems. It is a generic, stateless, object-oriented protocol which can be used for distributed object management systems, through extension of its syntax and semantics. HTTP is the protocol used by the World Wide Web global information initiative since 1990. This specification defines the syntax and semantics of HTTP/1.1.

# Web Implementation (user view)



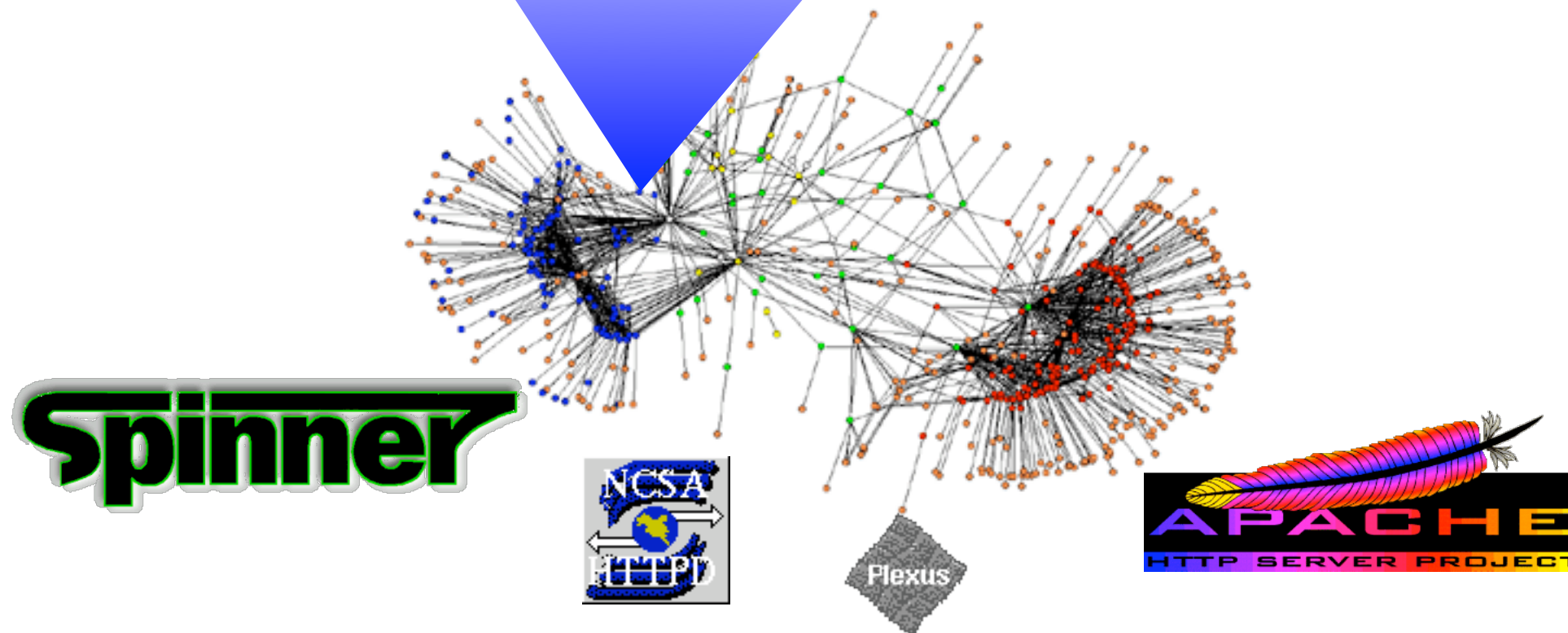
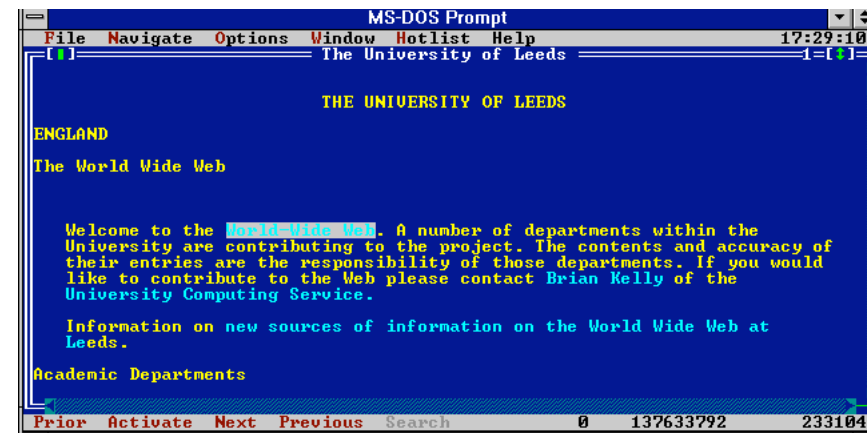


# Web Implementation (user view)

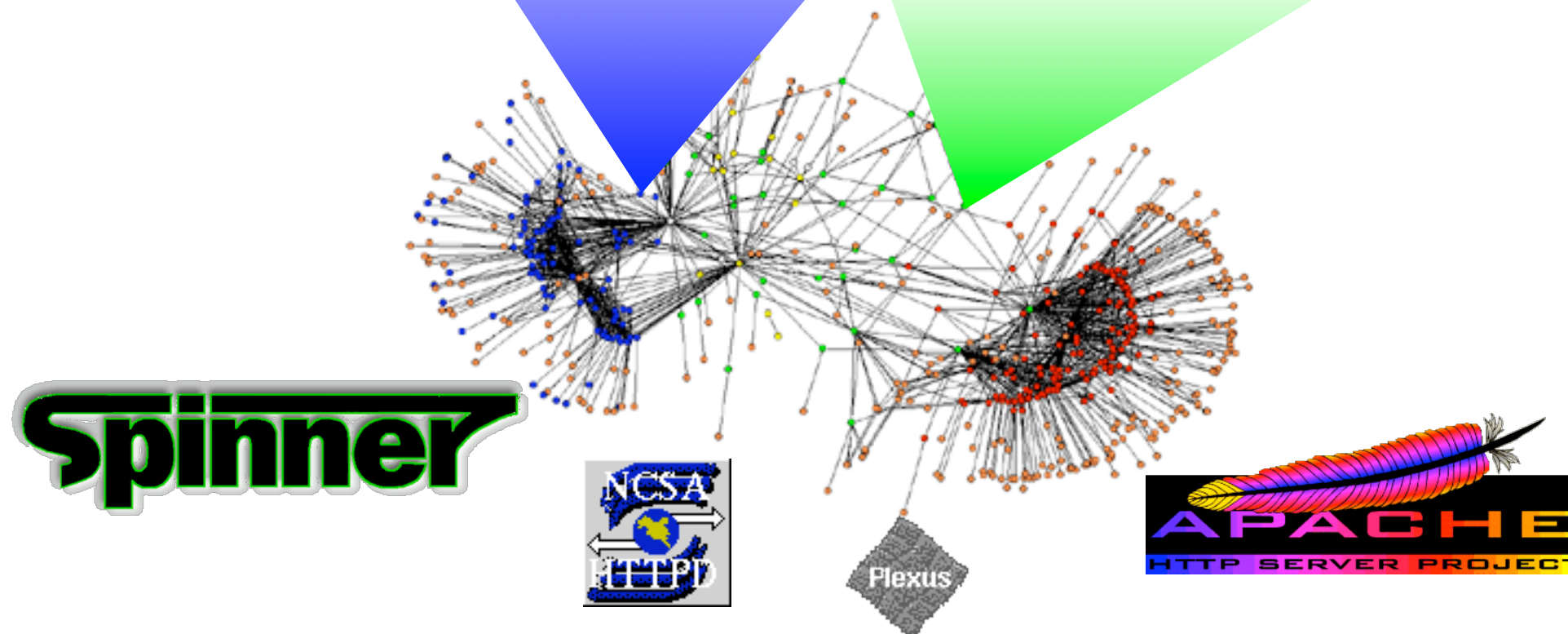
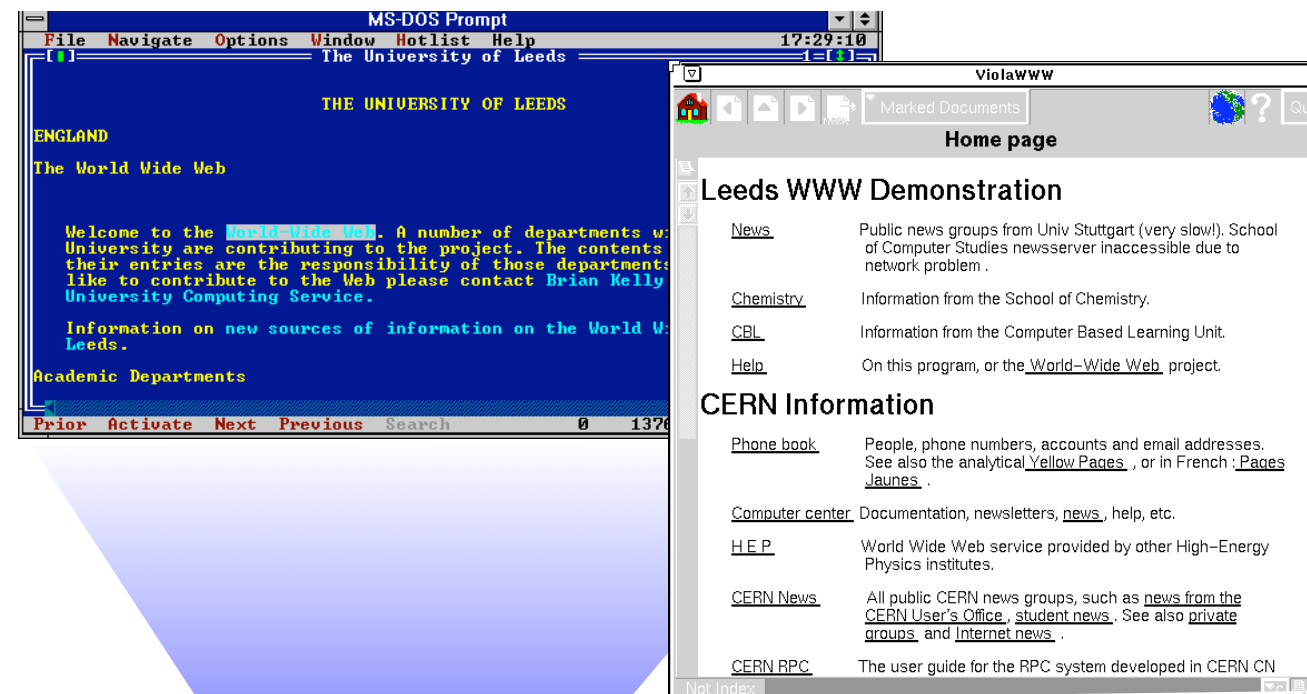




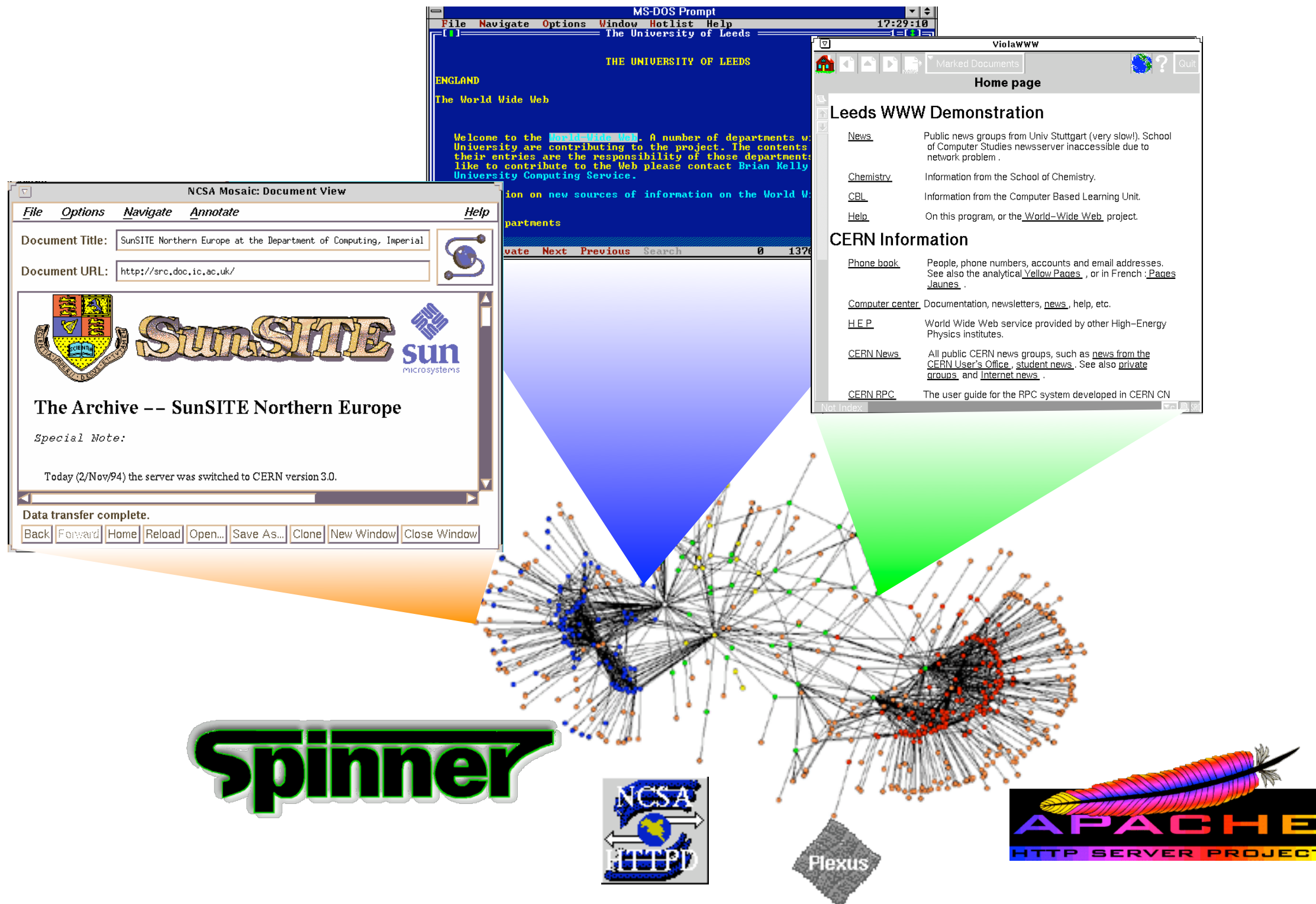
# Web Implementation (user view)



# Web Implementation (user view)

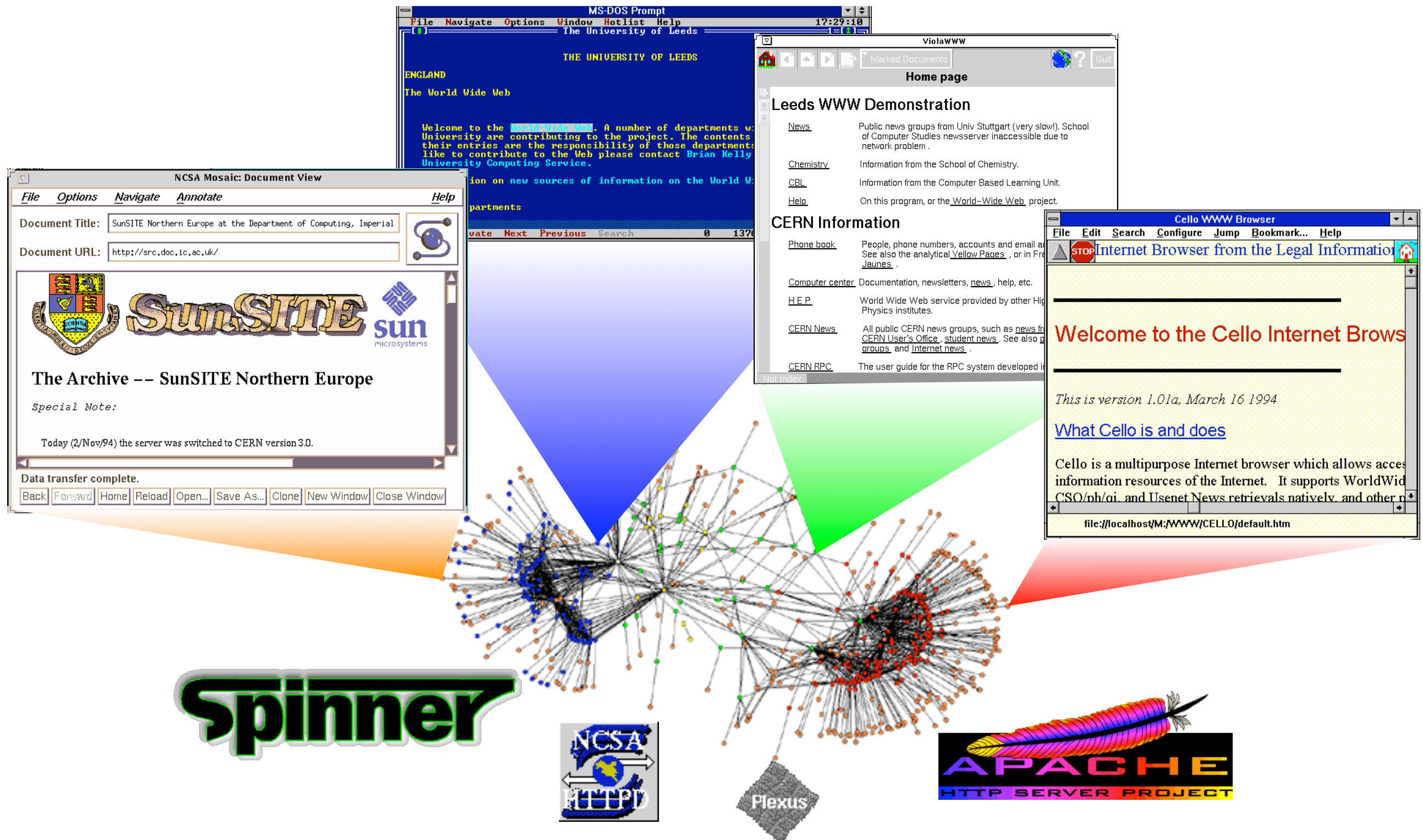


# Web Implementation (user view)

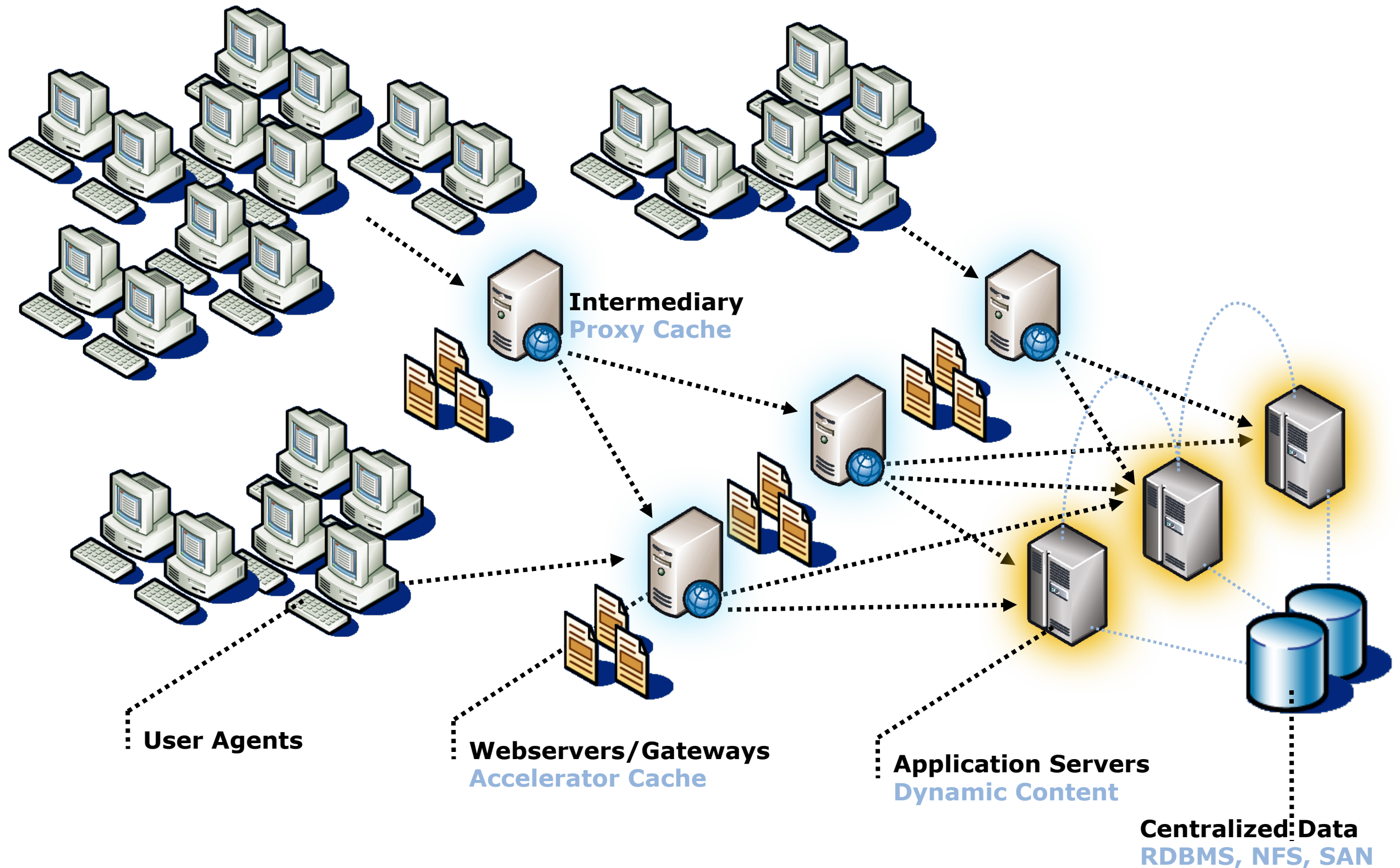




# Web Implementation (user view)

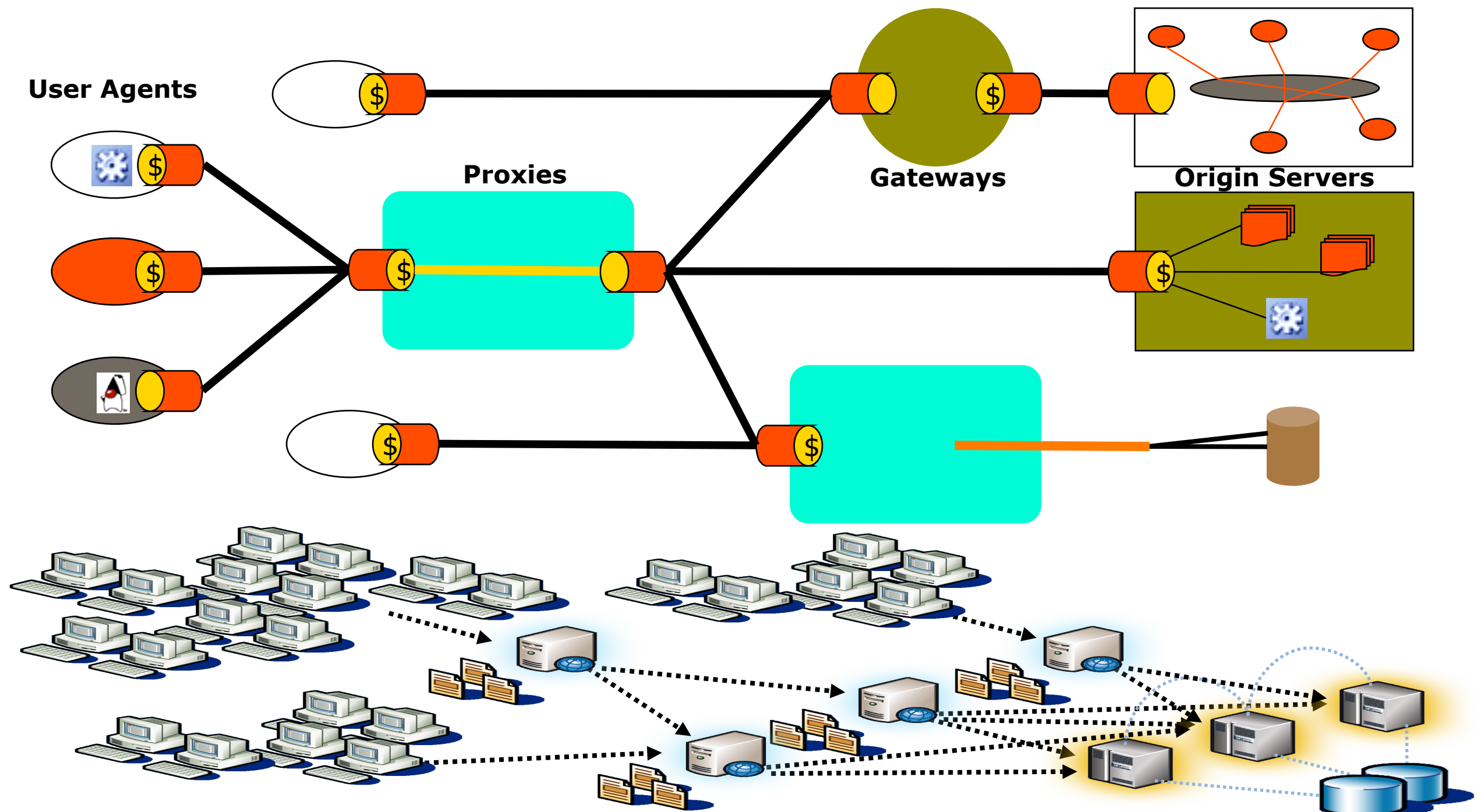


# Web Implementation (origin view)



# Web Architecture

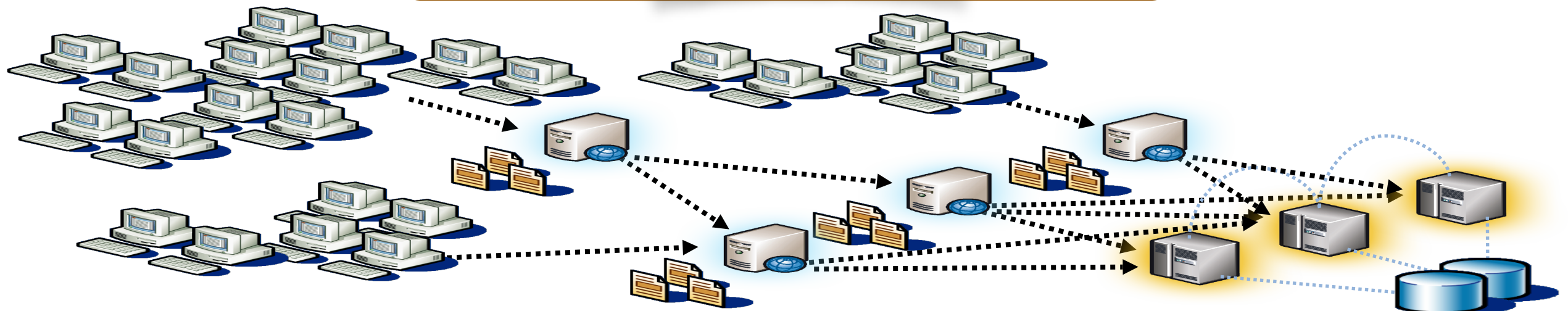
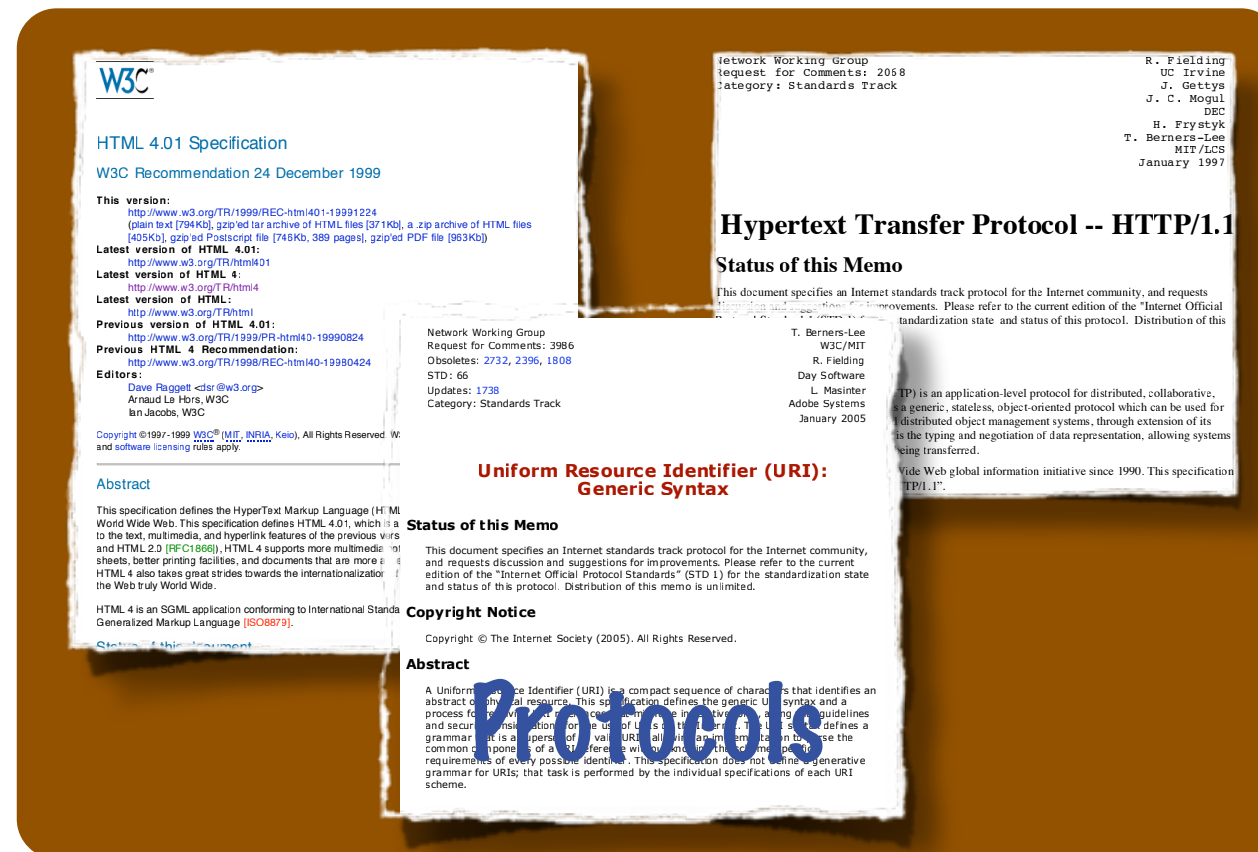
## A vertical abstraction on implementation





# Web Architecture

## A vertical abstraction on implementation





# Web Architecture

## A vertical abstraction on implementation

### Components

- ▶ User agents, Intermediaries, Servers
- ▶ Browsers, Spiders, Proxies, Gateways, Origin Servers

### Connectors

- ▶ **HTTP**: a standard transfer protocol to prefer over many

### Data

- ▶ **URI**: one identifier standard for all resources
- ▶ **HTML, XML, RDF, PDF, JPEG, JSON, ...**
  - common representation formats to describe and bind resources

# Agenda

Web retrospective

Understanding Architecture

What is REST?

Why REST?

REST at Day

Q & A



# NOT “Enterprise Architecture”

Half of what you read about Architecture in Software Industry trade rags is **WRONG**

▶ **it usually isn't even about architecture**

- strategic vision
- resource planning
- requirements analysis
- stakeholder reviews
- staffing & purchasing
- software structure
- libraries/frameworks
- buzzword-compliance

**The same folks will be selling REST**

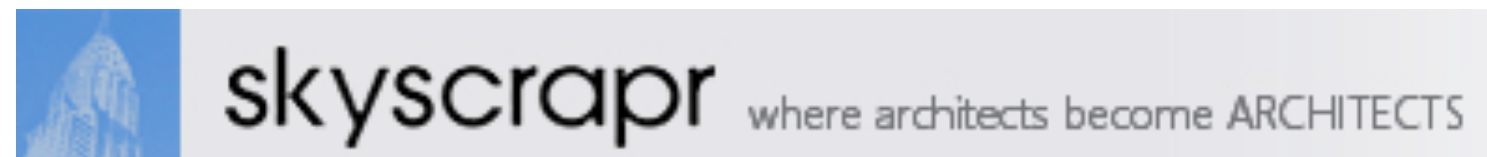
▶ **there will be lots of miscommunication (in and out)**

# NOT “Enterprise Architecture”

Half of what you read about Architecture in Software Industry trade rags is **WRONG**

► **it usually isn't even about architecture**

- strategic vision
- resource planning
- requirements analysis
- stakeholder reviews
- staffing & purchasing
- software structure
- libraries/frameworks
- buzzword-compliance



This MSDN site panders in the worst way...

“Solutions Architect”

“Infrastructure Architect” (CTO/sysadmins)

“Enterprise Architect” (CIO/manager)

## The same folks will be selling REST

► **there will be lots of miscommunication (in and out)**

# Software Architecture

A software architecture is an **abstraction** of the run-time elements of a software system during some phase of its operation.

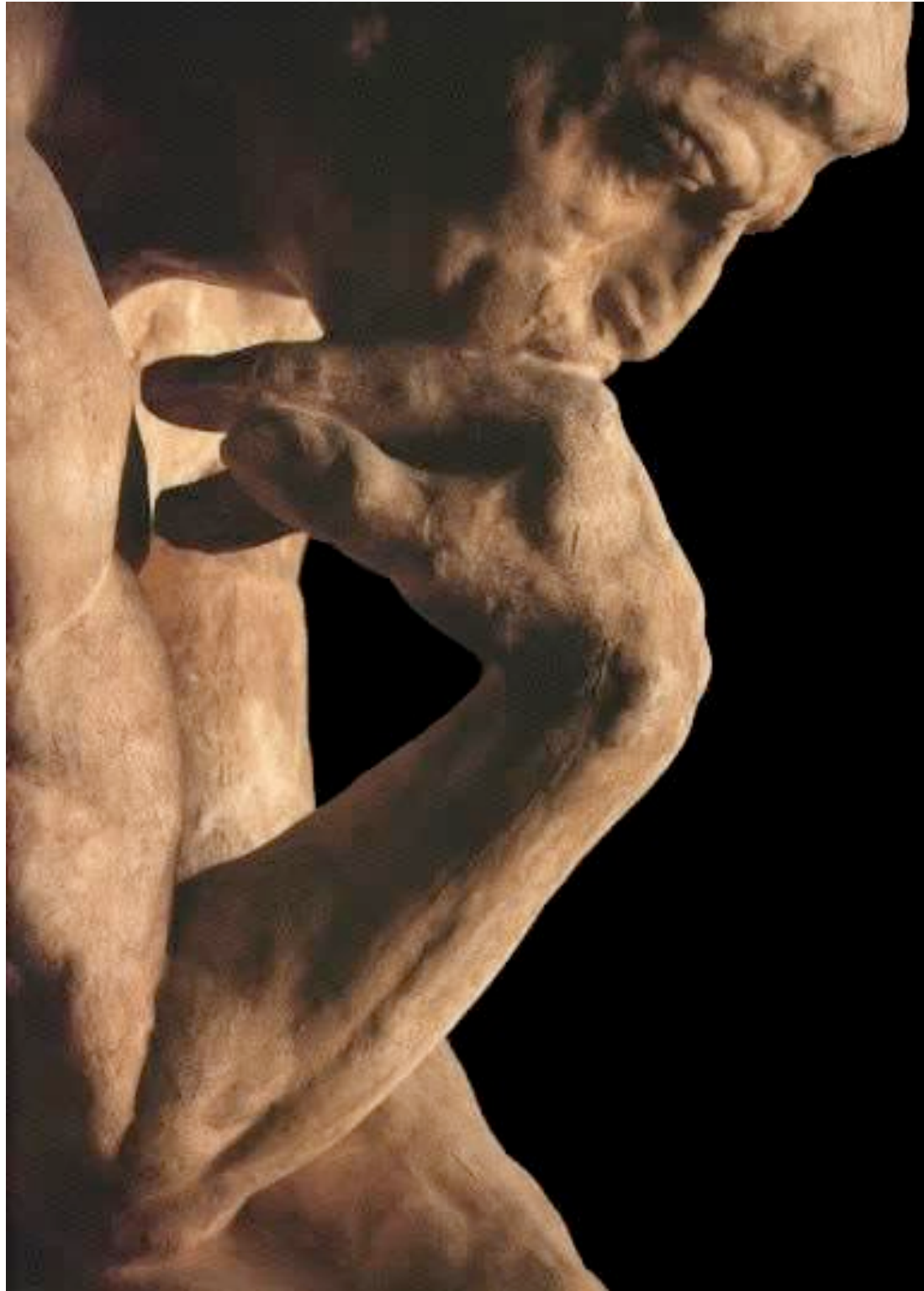
- ▶ A system may be composed of many levels of abstraction and many phases of operation, each with its own software architecture.
- ▶ A software architecture is defined by a configuration of architectural elements—components, connectors, and data—constrained in their relationships in order to achieve a desired set of architectural properties.
  - A configuration is the structure of architectural relationships among components, connectors, and data during a period of system run-time.

# Architectural Styles

An architectural style is a **coordinated set of architectural constraints** that restricts the roles and features of architectural elements, and the allowed relationships among those elements, within any architecture that conforms to that style.

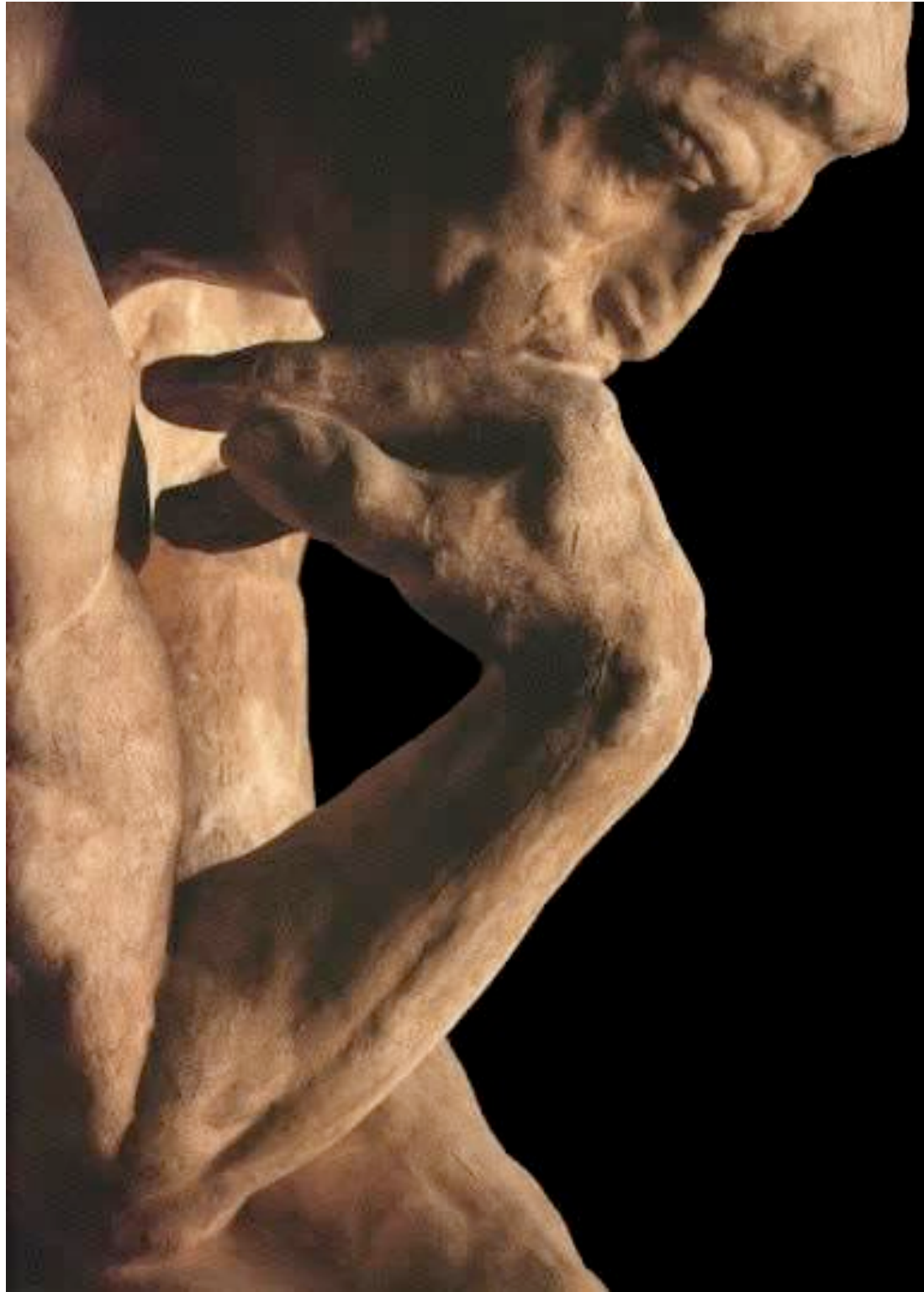
- ▶ A style can be applied to many architectures.
- ▶ An architecture can consist of many styles.

# Architectural Design Process





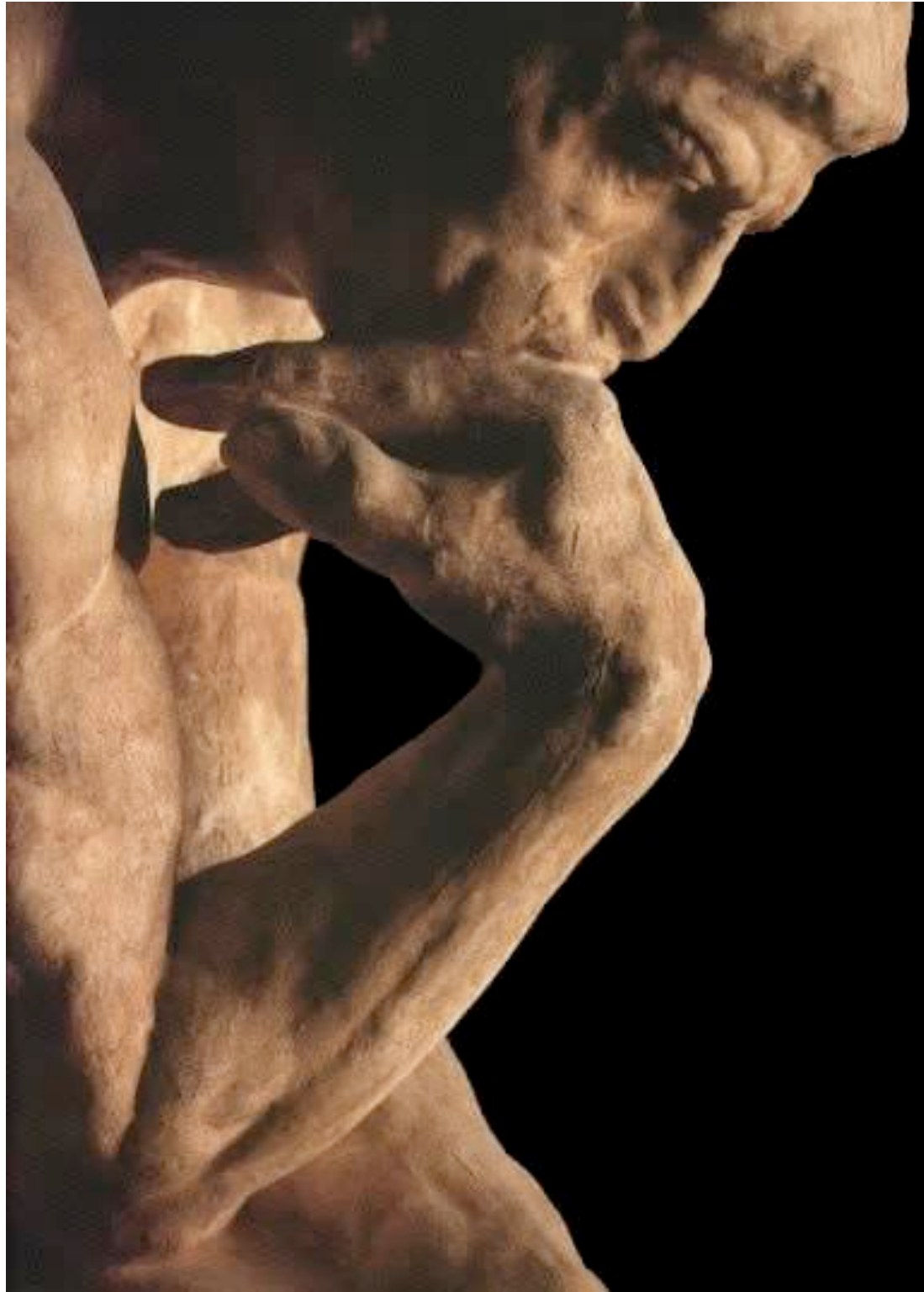
# Architectural Design Process



**think, think, think,  
think, think, think,  
still thinking ...**

**Maybe there's a  
template in Visio**

# Architectural Design Process



**Look at what works in practice, identify styles, and see how they can be combined to obtain properties**























# Architectural Styles

## A horizontal abstraction on architecture

- ▶ that's one too many abstractions for most folks
- ▶ a way of naming architectural patterns in implementation

## An architectural style is a set of **constraints**

- ▶ unfortunately, constraints are hard to visualize
  - kind of like gravity or electromagnetism
  - observed only by their effect on others
- ▶ and they are **voluntary**
  - there are no architecture police, but there are many architecture critics

## Constraints induce **architectural properties**

- ▶ both desirable and undesirable properties
  - a.k.a., software qualities and design trade-offs

# Styles of Architectural Design

## Design at the right level of abstraction

- ▶ Styles help architects communicate architecture
- ▶ Architecture determines potential system properties
- ▶ Implementation determines actual system properties

## Sometimes known by other names

- ▶ Systems Engineering (when it includes software)
- ▶ Architectural Patterns (styles with common recipes)

Just because it's called architecture ...

# Agenda

Web retrospective

Understanding Architecture

**What is REST?**

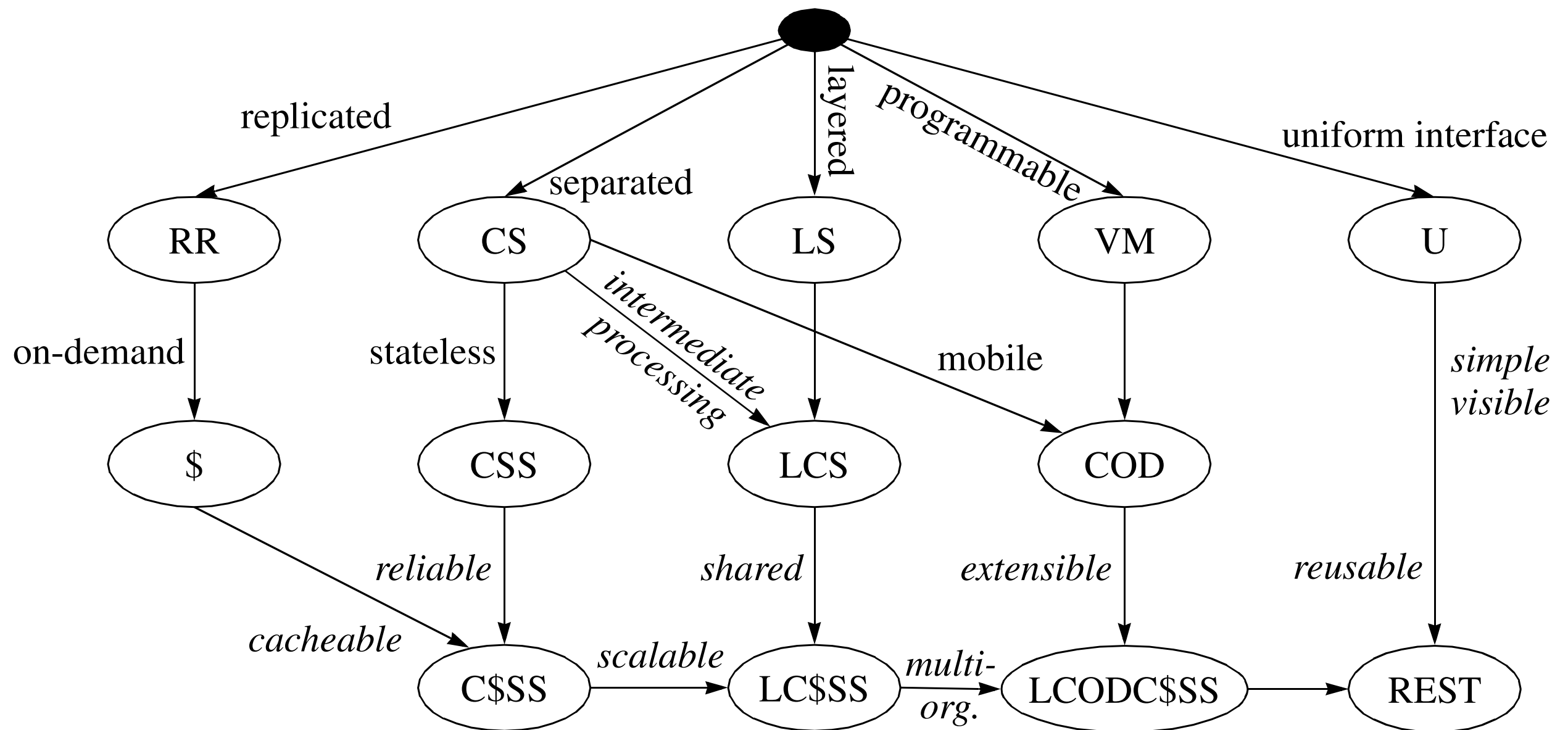
Why REST?

REST at Day

Q & A



# REST on a slide



# Style = nil

Starting from a condition of no constraints...



# Style += Client/Server

Apply separation of concerns: Client-Server



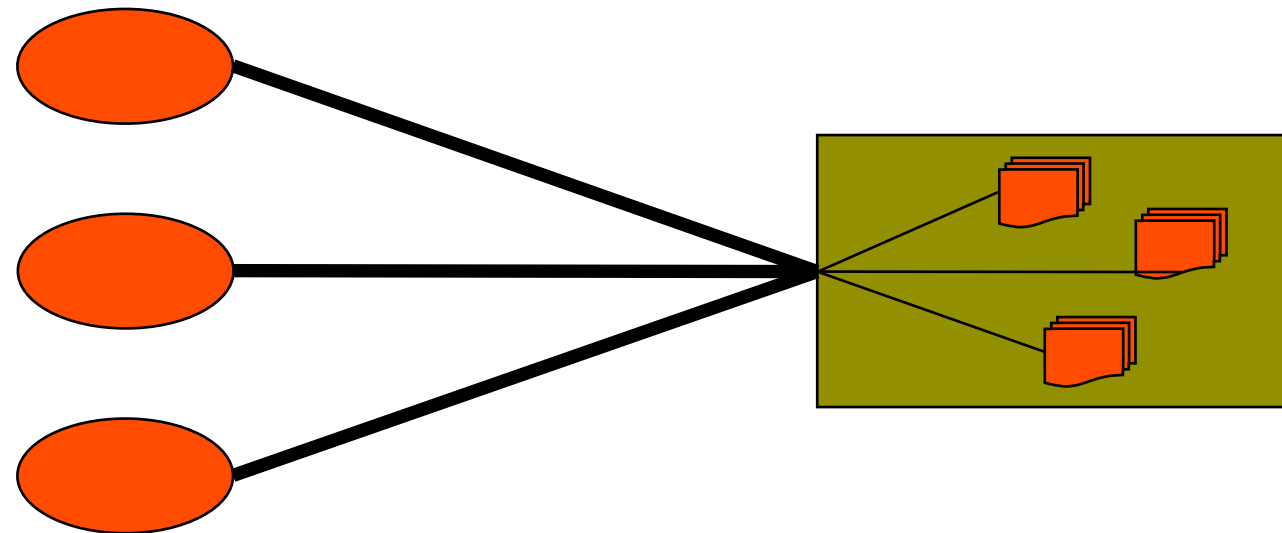
improves UI portability

simplifies server

enables multiple organizational domains

# Style += Stateless

Constrain interaction to be stateless...



degrades efficiency

simplifies server

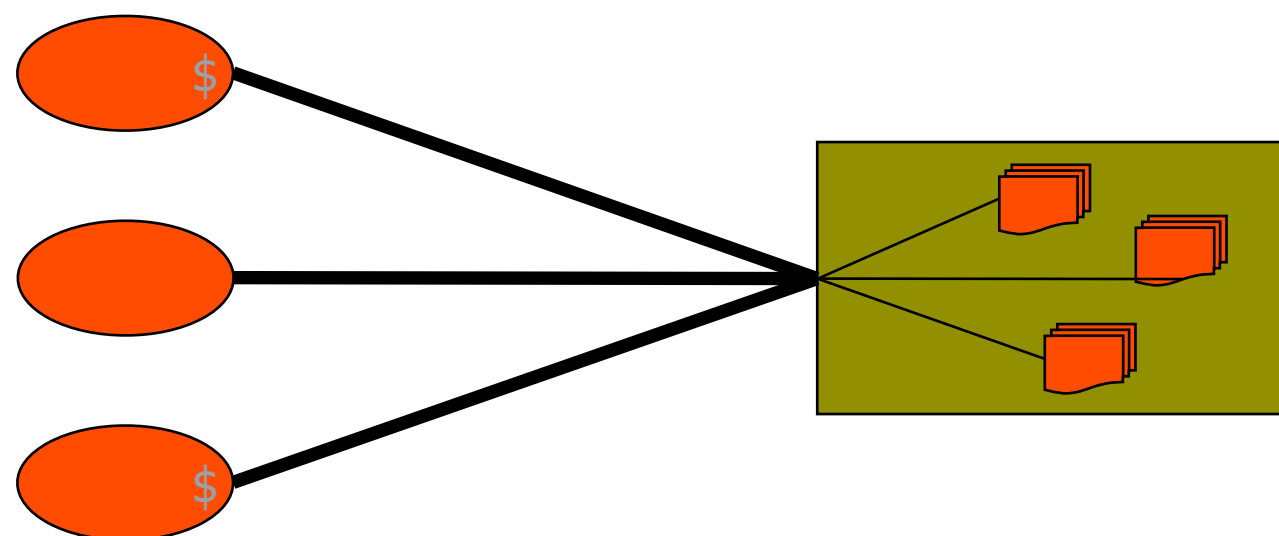
improves scalability

improves reliability



# Style += Caching

Add optional non-shared caching



degrades reliability

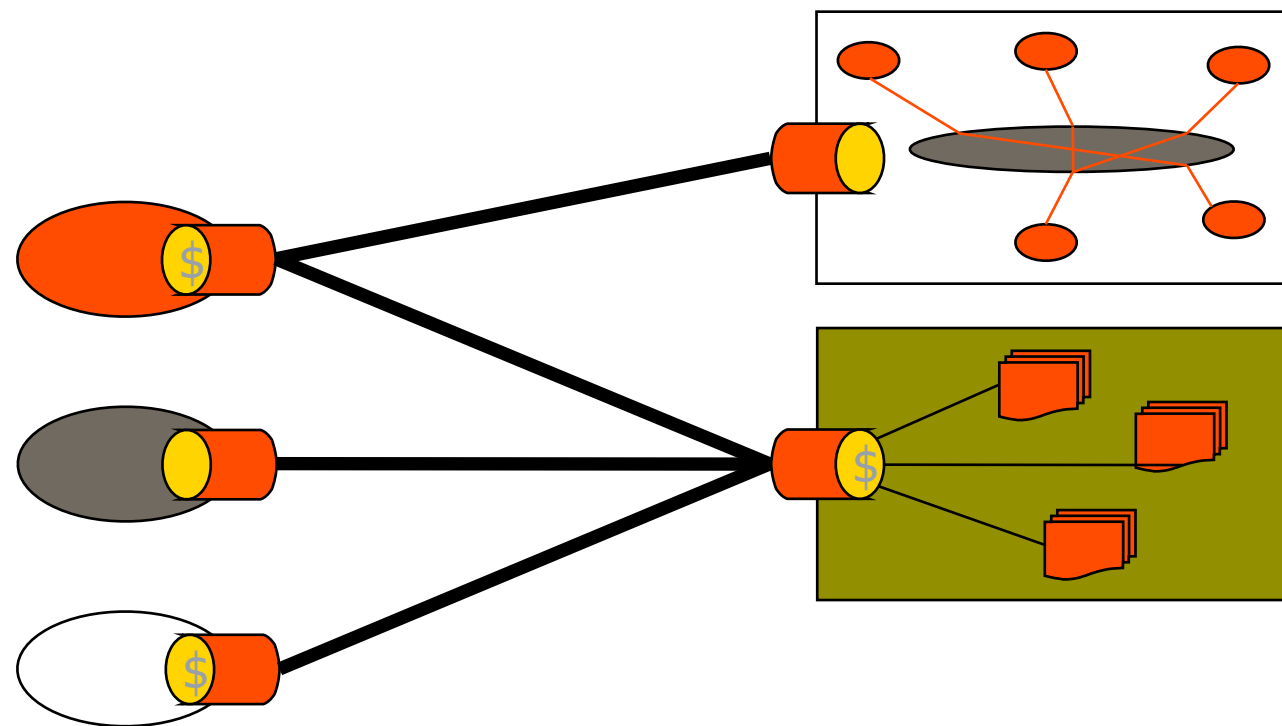
reduces average latency

improves efficiency

improves scalability

# Style += Uniform Interface

Apply generality: uniform interface constraint



improves visibility

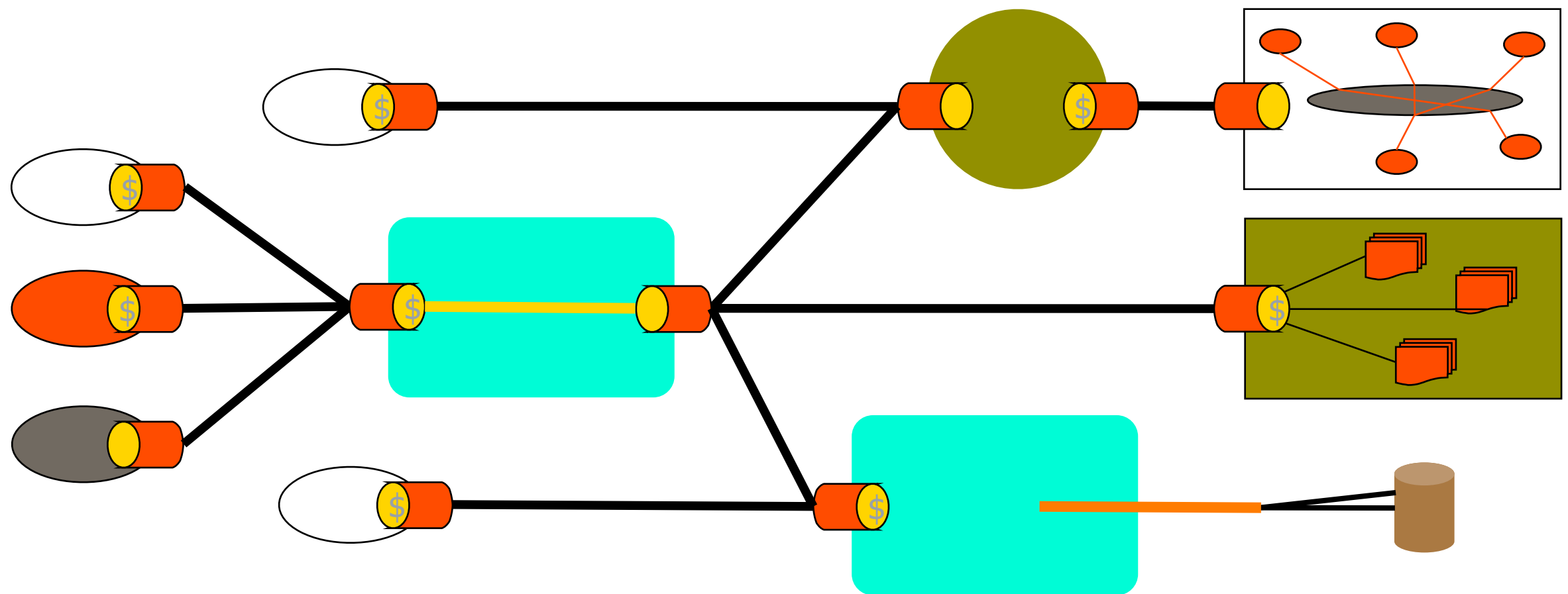
degrades efficiency

independent evolvability

decouples implementation

# Style += Layered System

Apply info hiding: layered system constraints



adds latency

shared caching

legacy encapsulation

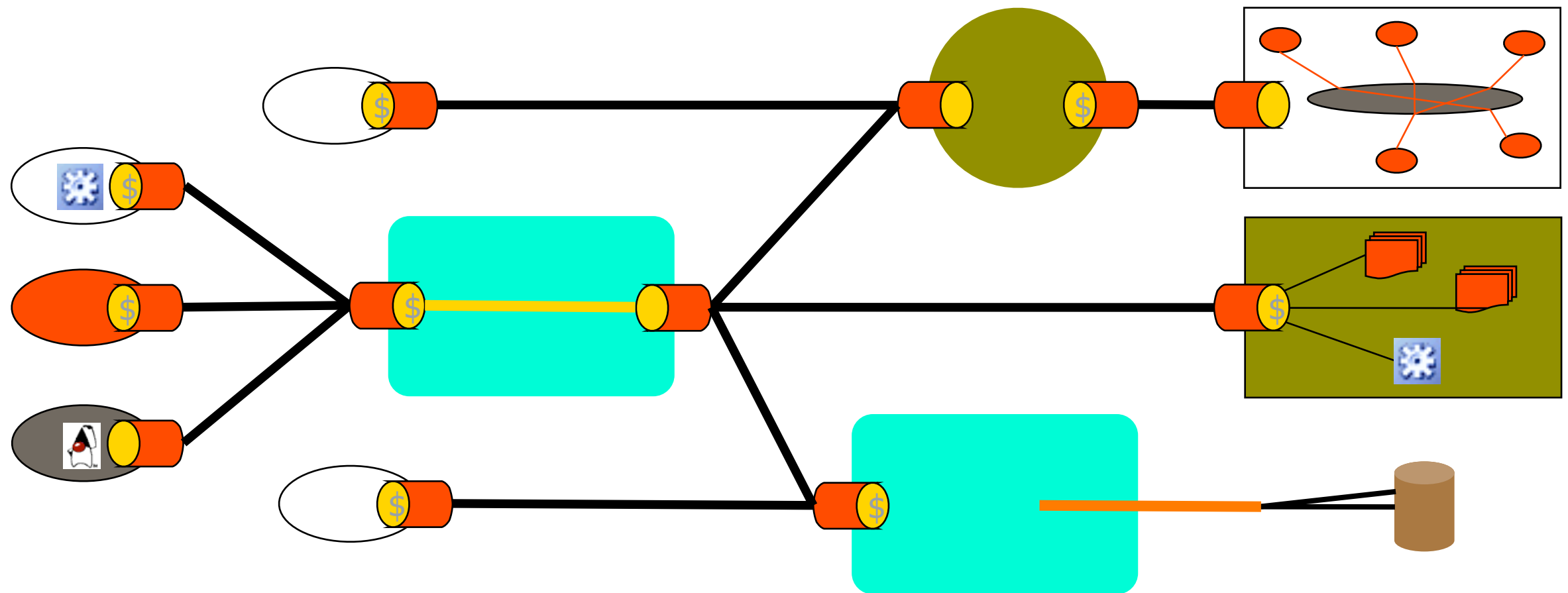
simplifies clients

improves scalability

load balancing

# REST Style

Finally, allow code-on-demand (applets/js)



simplifies clients

improves extensibility

reduces visibility

# REST Uniform Interface

All important resources are identified by one (uniform) resource identifier mechanism

- simple, visible, reusable, stateless communication

Access methods (actions) mean the same for all resources (universal semantics)

- layered system, cacheable, and shared caches

Resources are manipulated through the exchange of representations

- simple, visible, reusable, cacheable, and stateless communication

Exchanged as self-descriptive messages

- layered system, cacheable, and shared caches

# REST Uniform Interface

## Hypertext as the engine of application state

- ▶ A successful response indicates (or contains) a current **representation** of the state of the identified resource; **the resource remains hidden** behind the interface.
- ▶ Some **representations contain links** to potential next application states, including **direction on how to transition** to those states when a transition is selected.
- ▶ Each steady-state (Web page) embodies the current **application state**
  - simple, visible, scalable, reliable, reusable, and cacheable
- ▶ All application state (not resource state) is kept on client
- ▶ All shared state (not session state) is kept on origin server

# Hypertext Clarification

## Hypertext has many (old) definitions

- ▶ "By 'hypertext,' I mean non-sequential writing — text that branches and allows choices to the reader, best read at an interactive screen. As popularly conceived, this is a series of text chunks connected by links which offer the reader different pathways"  
[Theodor H. Nelson]
- ▶ “Hypertext is a computer-supported medium for information in which many interlinked documents are displayed with their links on a high-resolution computer screen.”  
[Jeffrey Conklin]

## When I say Hypertext, I mean ...

- ▶ The simultaneous presentation of information and controls such that the information becomes the affordance through which the user obtains choices and selects actions.
- ▶ Hypertext does not need to be HTML on a browser
  - machines can follow links when they understand the data format and relationship types



# Hypertext Clarification

## Hypertext has many (old) definitions

- ▶ "By 'hypertext,' I mean non-sequential writing — text that branches and allows choices to the reader. It is an interactive system. As a result, hypertext is a series of text chunks connected by links which offer the reader different pathways"  
[Theodor H. Nelson]
- ▶ “Hypertext is a computer-supported medium for information in which many interlinked documents are displayed with their links on a high-resolution computer screen.”  
[Jeffrey Conklin]

## When I say Hypertext, I mean ...

- ▶ The simultaneous presentation of information and controls such that the information becomes the affordance through which the user obtains choices and selects actions.
- ▶ Hypertext does not need to be HTML on a browser
  - machines can follow links when they understand the data format and relationship types

# Hypertext Clarification

## Hypertext has many (old) definitions

- ▶ "By 'hypertext,' I mean non-sequential writing — text that branches and allows choices to the reader. It is a series of text chunks connected by links which offer the reader different pathways"  
[Theodor H. Nelson]  
**Hypertext = non-linear documents**
- ▶ "Hypertext is a computer-supported medium for information in which many interlinked documents are displayed with a single resolution computer screen"  
[Jeffrey Conklin]  
**Hypertext = selectable GUI controls**

## When I say Hypertext, I mean ...

- ▶ The simultaneous presentation of information and controls such that the information becomes the affordance through which the user obtains choices and selects actions.
- ▶ Hypertext does not need to be HTML on a browser
  - machines can follow links when they understand the data format and relationship types

# Hypertext Clarification

## Hypertext has many (old) definitions

- ▶ "By 'hypertext,' I mean non-sequential writing — text that branches and allows choices to the reader. It is a series of text chunks connected by links which offer the reader different pathways"  
[Theodor H. Nelson]  
**Hypertext = non-linear documents**
- ▶ "Hypertext is a computer-supported medium for information in which many interlinked documents are displayed with a single resolution computer screen"  
[Jeffrey Conklin]  
**Hypertext = selectable GUI controls**

## When I say Hypertext, I mean ...

- ▶ The simultaneous presentation of information and controls  
**Hypertext = data-guided controls** through which the user obtains choices and selects actions.
- ▶ Hypertext does not need to be HTML on a browser
  - machines can follow links when they understand the data format and relationship types

# Agenda

Web retrospective

Understanding Architecture

What is REST?

Why REST?

REST at Day

Q & A



# Benefits of REST-based Architecture

## Maximizes reuse

- ▶ uniform resources having identifiers = Bigger WWW
- ▶ visibility results in serendipity

## Minimizes coupling to enable evolution

- ▶ uniform interface hides all implementation details
- ▶ hypertext allows late-binding of application control-flow
- ▶ gradual and fragmented change across organizations

## Eliminates partial failure conditions

- ▶ server failure does not befuddle client state
- ▶ shared state is recoverable as a resource

## Scales without bound

- ▶ services can be layered, clustered, and cached



# Benefits of REST-based Architecture

## Simplifies

- ▶ hypertext is standardized (fewer UIs)

## Simplifies

- ▶ identification is standardized (less communication)

## Simplifies

- ▶ exchange protocols are standardized (fewer integrations)

## Simplifies

- ▶ interactions are standardized (fewer semantics)

## Simplifies

- ▶ data formats are standardized (fewer translations)

# Agenda

Web retrospective

Understanding Architecture

What is REST?

Why REST?

Relaxation

REST at Day



Q & A

# Industry Practice

## Meanwhile, in a parallel universe ...

- ▶ Monty Python's Architect Sketch
  - Microsoft was selling COM+/DCOM
  - IBM and friends were selling CORBA
  - Sun was selling RMI
  - W3C was developing XML
- ▶ Then SOAP was dropped on the shower floor as an Internet Draft
  - and quickly laughed out of the IETF
  - only to be picked up by IBM and renamed "Web Services"
- ▶ and REST became the only counter-argument to multi-billions in advertising

# Industry Reaction?

## Not very constructive

- ▶ proponents labeled as RESTafarians
- ▶ arguments derided as a “religion”
- ▶ excused as “too simple for real services”



## Service-Oriented Architecture (SOA)

- ▶ a direct response to REST
- ▶ attempt at an architectural style for WS
  - without any constraints
- ▶ What is SOA?
  - Wardrobe, Musical Notes, or Legos?
  - [http://www.youtube.com/profile\\_videos?user=richneckyogi](http://www.youtube.com/profile_videos?user=richneckyogi)

# Industry Acceptance

Something has changed ...

- ▶ People started to talk about the value of URIs (reusable resources)
- ▶ Google maps decided to encourage reuse (Mashups)
- ▶ O'Reilly began talking about Web 2.0
- ▶ Rails reminded people that frameworks can be simple



and REST(ful) became an industry buzzword

# Yikes!

# Relaxation

Clearly, it's time to start messing with minds

- ▶ REST is not the only architectural style
- ▶ My dissertation is about Principled Design, not the one true architecture

What do constraints really mean?

- ▶ codify a design choice at the level of architecture
  - to induce certain (good) architectural properties
  - at the expense of certain (bad) trade-offs

What if we relax a given constraint?

- ▶ Is it really the end of the world?
- ▶ Should waka have its own style?



# What if: Non-Uniform Interface ?

If the interface would be resource-specific...

- ▶ **URI is no longer sufficient for resource identification**
  - lose benefit of URI exchange (assumed GET)
  - require resource description language
- ▶ **Information becomes segregated by resource type**
  - walled into gardens (loss of power laws / pagerank)
  - important information must be replicated
- ▶ **Intermediaries cannot encapsulate services**
  - unable to anticipate resource behavior
  - too complex to cache based on method semantics
- ▶ **No more serendipity**
  - mashups must be defined per interface
  - services become tightly coupled

# What if: Non-Uniform Interface ?

If the interface would be resource-specific...

- ▶ URI is no longer sufficient for resource identification
  - lose benefit of URI exchange (assumed GET)
  - require resource description language
- ▶ Information becomes segregated by resource type
  - walled into gardens (loss of power laws / pagerank)
  - important information must be replicated
- ▶ Intermediaries cannot encapsulate services
  - unable to anticipate resource behavior
  - too complex to cache based on method semantics
- ▶ No more serendipity
  - mashups must be defined per interface
  - services become tightly coupled

# What if: Relax client/server ?

## What if we let servers make requests?

- ▶ lose implementation simplicity
- ▶ potential for confusion with mixed-protocol intermediaries
  - unknown: does it impact session state?

## Trade-offs aren't as severe. Benefits?

- ▶ peer-to-peer applications
- ▶ shared cache mesh, triggered expiration

## Can we compensate for the trade-offs?

- ▶ Make message syntax more uniform
  - Limit server-initiated requests to same-connection
  - Make response messages truly asynchronous
  - Make it possible to ignore requests

# Conclusion

## Use your brains!

- ▶ don't design-by-buzzword
- ▶ don't believe everything you read
- ▶ always keep in mind that **change is inevitable**

## Use principled design

- ▶ identify desired architectural properties
- ▶ select proven architectural styles where appropriate
- ▶ constrain behavior to induce properties
- ▶ compensate for the design trade-offs

# Agenda

Web retrospective

Understanding Architecture

What is REST?

Why REST?

Relaxation

**REST at Day**



## Q & A

# Vision

**1 Everything is Content**



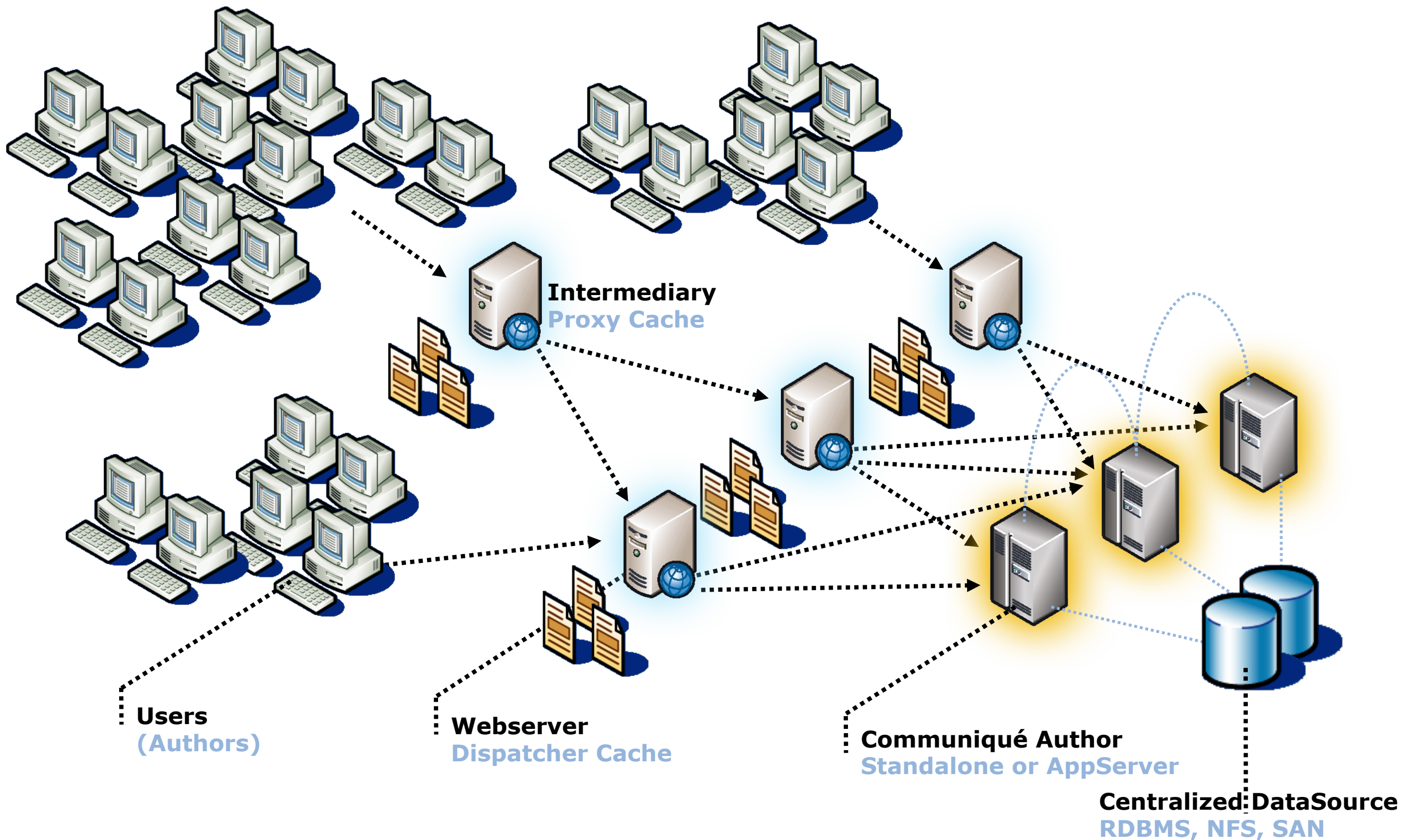
# Vision

## REST

**All important resources  
have uniform identifiers**

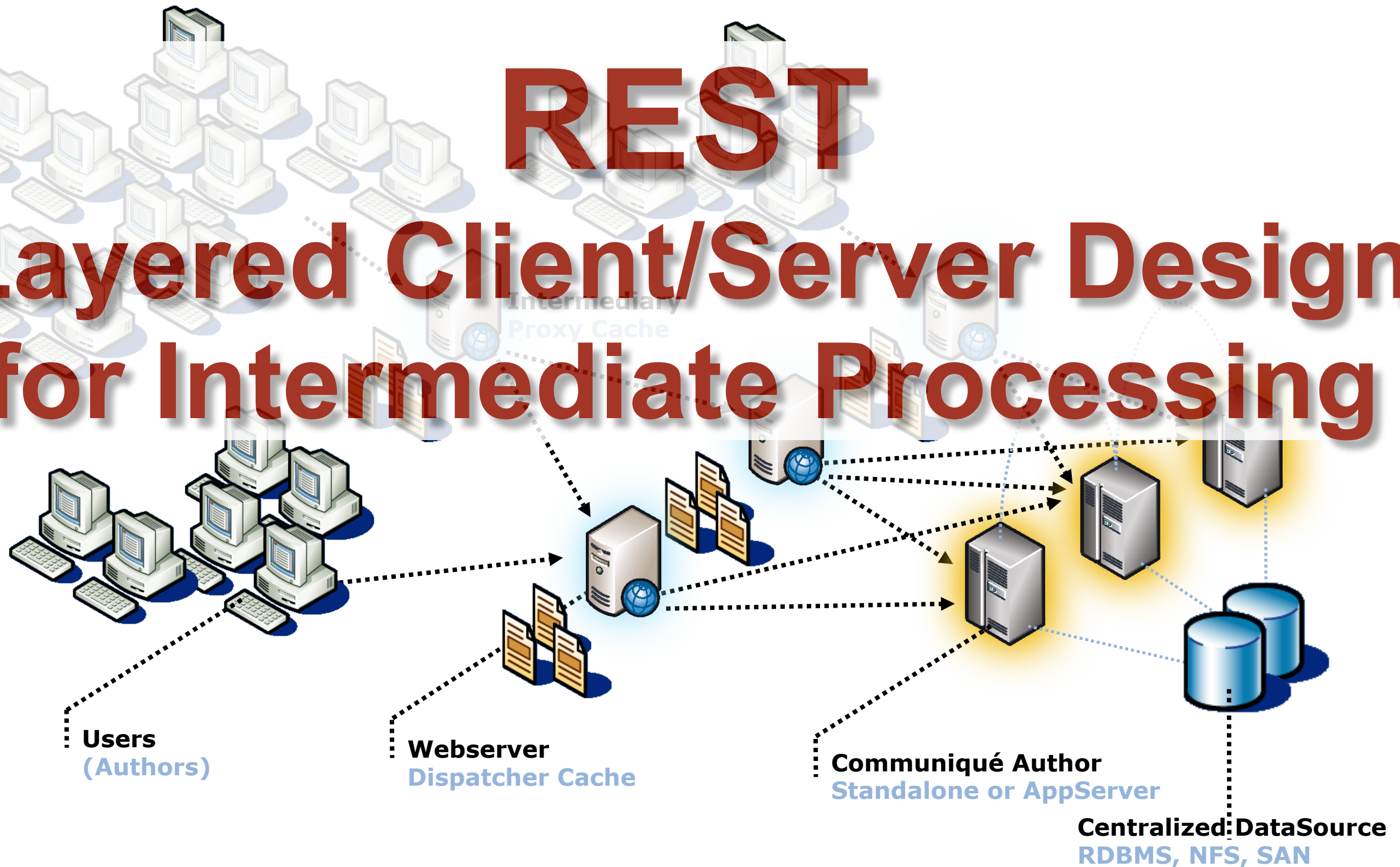
**1 Everything is Content**

# Intermediary and Cache Friendly



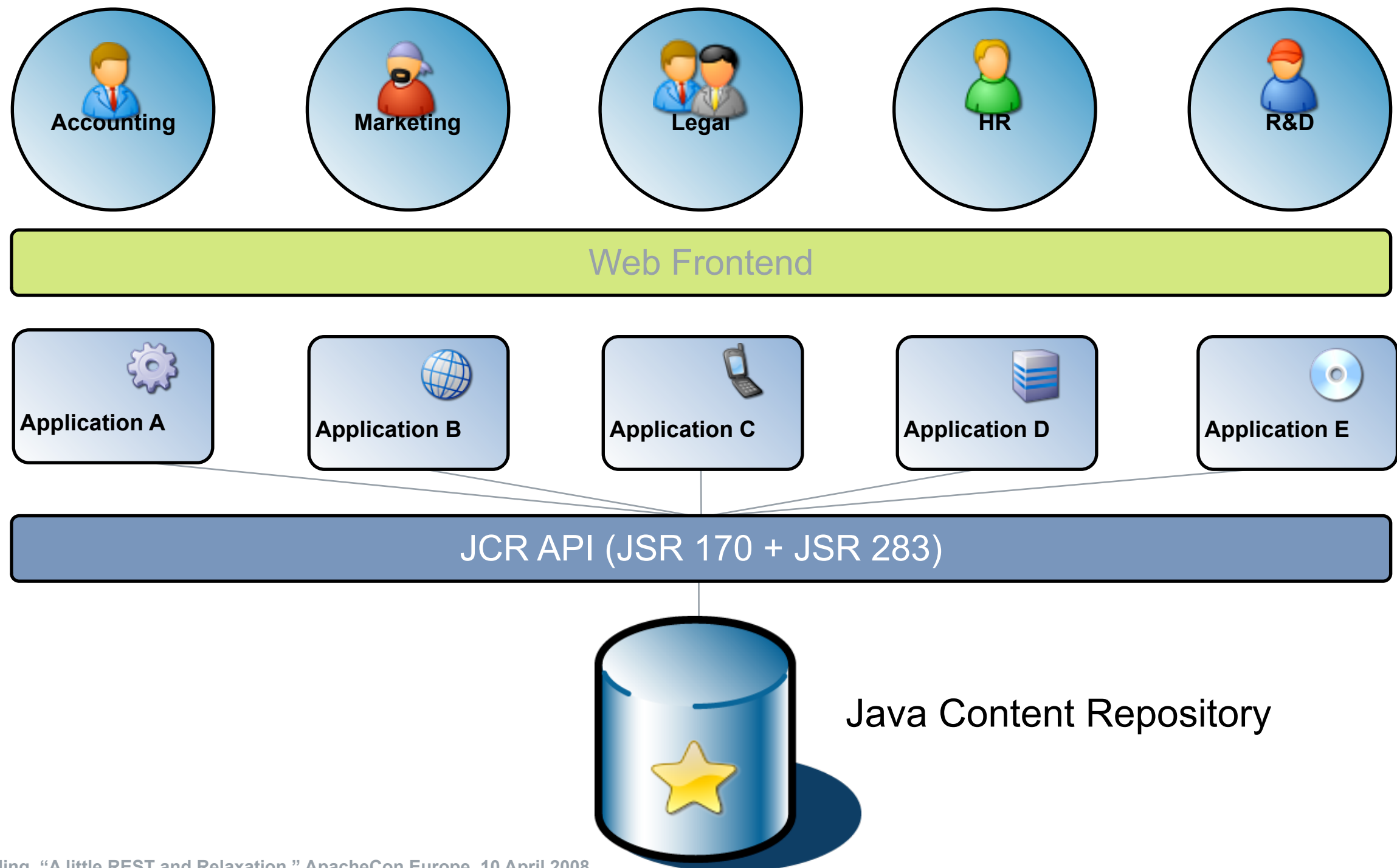
# Intermediary and Cache Friendly

## REST Layered Client/Server Design for Intermediate Processing





# Standards



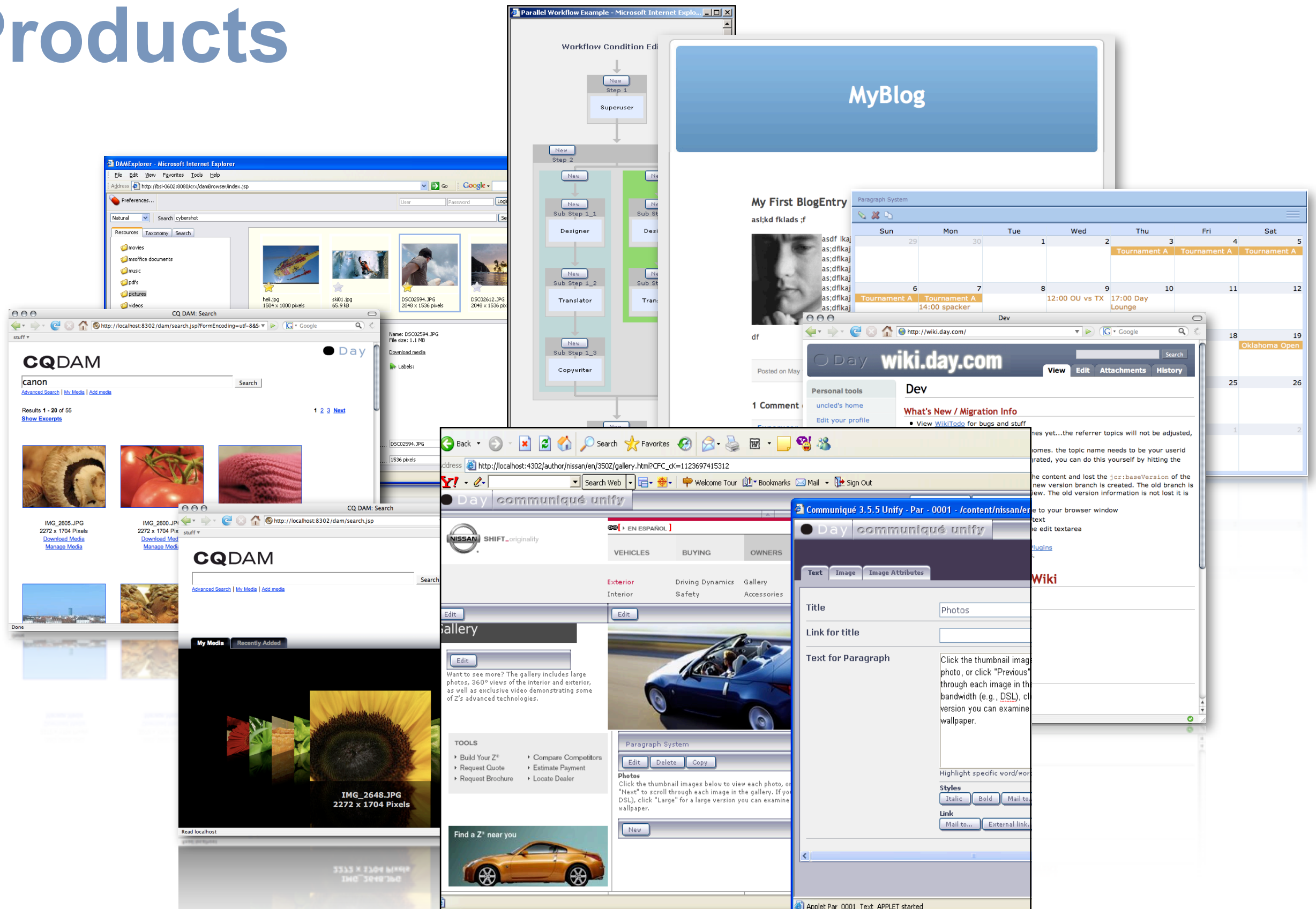
# Standards



# Products



# Products



# Products

# REST

# Hypertext is the Engine of Application State



# Agenda

Web retrospective

Understanding Architecture

What is REST?

Why REST?

Relaxation

REST at Day



## Q & A